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Optimal elliptic regularity near 3-dimensional, heterogeneous Neumann vertices

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6th Singular Days, WIAS, Berlin

## **1** Introduction

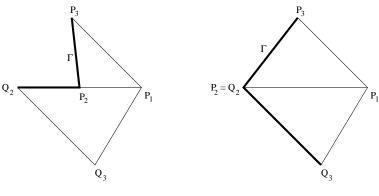
Let  $\Pi \subseteq \mathbb{R}^3$  be a domain, whose closure  $\overline{\Pi}$  is simultaneously a polyhedron and a manifold with boundary. For a bounded, measurable coefficient function  $\mu : \Pi \to \mathbb{R}^{3 \times 3}$  we define the operator  $-\nabla \cdot \mu \nabla : W^{1,2}(\Pi) \to (W^{1,2}(\Pi))'$  as usual by

$$\langle -\nabla \cdot \mu \nabla v, w \rangle := \int_{\Omega} \mu \nabla v \cdot \nabla \overline{w} \, d\mathbf{x} \,, \quad v, w \in W^{1,2}(\Pi), \tag{1}$$

in order to have (homogeneous) Neumann boundary conditions for the restriction of this operator to  $L^2(\Pi)$ .

**THEOREM 1.** There is a p > 3, such that, for any  $f \in (W^{1,p'}(\Pi))'$ , every solution v of  $-\nabla \cdot \mu \nabla v = f$  is in  $W^{1,p}$  locally around a vertex a of  $\Pi$ , provided the following assumptions hold true:

- $\mu$  is elliptic and takes symmetric matrices as values.
- $\Pi = |K|$  for some finite, Euclidean complex K and  $\mu$  is constant on the inner of every 3-cell belonging to K, i.e.  $\mu$  is piecewise constant on a cellular subpartition of the polyhedron  $\Pi$ .
- Any edge from the boundary of  $\Pi$  that has one endpoint in a is a geometric edge or a bimaterial outer edge, such that both opening angles do not exceed  $\pi$ .





• Every inner edge with endpoint a is well-behaved, i.e. the singularity exponent associated to this edge, is larger than 1/3.

#### Strategy of proof

1) Deform a neighbourhood of a by a PL homeomorphism  $\phi$ , such that  $\phi(a) = 0 \in \mathbb{R}^3$  and the corresponding boundary part becomes part of the x - z-plane

2) Diminish the neighbourhood such that the image under  $\phi$  equals a suitable half cube and, additionally, the only occurring edges have either one of their endpoints in  $0 \in \mathbb{R}^3$  or are situated on the boundary of the half cube

3) Reflect the problem across the x - z-plane and end up with a Dirichlet problem

4) Restrict the edge singularities and exploit a theorem on elliptic regularity in case of polyhedral Dirichlet problems

## 2 The PL flattening theorem

**DEFINITION 2.** Let K be a complex in  $\mathbb{R}^d$ . A continuous mapping f from |K| onto a subset of  $\mathbb{R}^m$  is then called piecewise linear, if there is a subdivision K' of K, such that the restricted function  $f|_{\sigma}$  is linear for every  $\sigma \in K'$ .

**DEFINITION 3.** If v is a vertex of the Euclidean complex K, then we call the set of all cells from K which contain v, together with all their faces, the star around v within K.

**LEMMA 4.** Let K be a finite simplicial complex in  $\mathbb{R}^3$  whose polyhedron |K| is a 3-dimensional manifold with boundary. Let  $v \in \partial |K|$  be any vertex of K. If we denote by  $K_v^*$  the star around v within K, then the polyhedron  $|K_v^*|$  is homeomorphic to the closed unit ball in  $\mathbb{R}^3$ . Moreover, the boundary of  $|K_v^*|$  is topologically a 2-sphere and, additionally, a polyhedron.

**PROPOSITION 5.** Let S be a polyhedron in  $\mathbb{R}^3$  which is topologically a 2-sphere, and let W be a convex, open set containing S. Then there is a PL homeomorphism

$$\phi_S: \mathbb{R}^3 \leftrightarrow \mathbb{R}^3, \qquad S \leftrightarrow \partial \sigma^3,$$

where  $\sigma^3$  is a tetrahedron, such that  $\phi_S|_{\mathbb{R}^3\setminus\mathcal{W}}$  is the identity.

According to Lemma 4, we may apply Proposition 5 to the polyhedron  $K_{a}^{\bigstar}$ . Clearly,  $Int(K_{a}^{\bigstar})$  is mapped onto  $Int(\sigma^{3})$  and  $\partial(K_{a}^{\bigstar})$  is mapped onto  $\partial\sigma^{3}$ . Modulo another PL homeomorphism  $\phi_{3}: \mathbb{R}^{3} \to \mathbb{R}^{3}$  one may arrange that

• 
$$\phi_S(\mathbf{a}) = 0$$

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- $\phi_S(\partial(K_a^{\bigstar}))$  is an open neighbourhood of 0 in the plane y = 0.
- $\phi_S(Int(K_a^{\bigstar}))$  is an open subset of  $\{x = (x, y, z) : x, z \in \mathbb{R}, y > 0\}.$

**COROLLARY 6.** Let  $\Lambda \subset \mathbb{R}^3$  be a polyhedron, which is the closure of its interior  $\Omega$ , and suppose that  $\Lambda$  is a 3-manifold with boundary. Then  $\Omega$  is a Lipschitz domain, even more: the local bi-Lipschitz charts around boundary points may be chosen as PL homeomorphisms.

Consider now the image  $\phi_S(K_a^{\bigstar})$ , which carries the Euclidean structure from the PL subdivision of  $K_a^{\bigstar}$ . Denote the star around  $\phi_S(a)$  within this complex by *L*. Finally, intersect this complex by a sufficiently small cube C, such that all edges of  $C \cap L$  which intersect *intK*, have one endpoint in 0.

**Bild** We reflect the problem now symmetrically at the plane y = 0 and end up with a Dirichlet problem of the same type.

### LEMMA 7.

$$-\nabla \cdot \hat{\mu} \nabla : W_0^{1,p}(\mathcal{C}) \to W^{-1,p}(\mathcal{C})$$
(2)

is a topological isomorphism for a p > 3.

**PROPOSITION 8.** Let  $\{\Omega_k\}_k$  be a polyhedral partition of  $\Omega$ , such that the coefficient function  $\mu$  is constant on the inner of each  $\Omega_k$ . If for every such edge the associated singularity exponent is larger than  $\frac{1}{3}$ , then there is a p > 3, such that

$$-\nabla \cdot \mu \nabla : W_0^{1,p}(\Omega) \to W^{-1,p}(\Omega)$$
(3)

is a topological isomorphism.

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Let us denote the upper half cube of C by  $C_+$  and the midplane of C by  $\Sigma$ . Now we are going to identify the occurring edges E in  $\overline{C}$ .

I	edges from $\partial C$ ,		edges from $\Sigma$ ,
II	edges from $\mathcal{C}_+$ ,	IV	edges from $\mathcal{C}$ .

**DEFINITION 9.** Let *E* be an edge in  $\overline{\Omega}$  that lies in  $\partial\Omega$ . Then we define:

- 1. *E* is a geometric edge, if all relative inner points of *E* possess a neighbourhood in  $\overline{\Omega}$  on which  $\mu$  is constant a.e. with respect to 3-dimensional Lebesgue measure.
- 2. *E* is a bimaterial outer edge, *if it is adjacent to exactly two material sectors*.

**PROPOSITION 10.** For any geometric edge E the kernels of the associated operators  $A_{\lambda}$  are trivial, if  $\Re \lambda \in [0, 1/2]$ . This same is true for bimaterial outer edges, if both sectors have an opening angle not larger than  $\pi$ .

**LEMMA 11.** The edges from  $\partial C$  are either geometrical edges or bimaterial outer edges with opening angles not larger than  $\pi$ . Hence, their singularity exponents are uncritical, due to Proposition 10.

Edges from  $C_+$ : By the definition of the cube K, all edges which intersect  $C_+$ , have one endpoint in 0. Thus, their inverse image is either I part of an original edge

or

If *E* lies in the inner of a tetrahedron from the original triangulation of  $\overline{\Pi}$ 

or

III *E* does not intersect an edge from the original triangulation of  $\overline{\Pi}$ , but is contained in the intersection of two faces  $\mathfrak{F}_1, \mathfrak{F}_2$  from two tetrahedra  $\mathfrak{T}_1, \mathfrak{T}_2$ .

By transforming back and exploiting known (but nontrivial) regularity theorems, one obtains **LEMMA 12.** *The singularities associated to the edges from I, II, III are not critical.* It remains to discuss the edges from  $\Sigma$ .