

# Simulation photolithographischer Prozesse: Grundlagen, Anwendungspotential und Herausforderungen

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## Inhalt:

### 1. Lithographiesimulation am Fraunhofer-Institut IISB

Kompetenzen und Projekte

### 2. Grundlagen

- optische Projektionstechniken in der Chipherstellung
- Modellierung des Abbildungssystem und der Photolackprozessierung
- Bewertung von Lithographieprozessen

### 3. Anwendungen

- Wirkungsweise und Optimierung von Phase-Shift Masken
- Optimierung von Masken und Beleuchtungsproblemen
- Belichtungen über nichtebenen Wafern

### 4. Ausgewählte Herausforderungen

# Competencies of the Lithography Group

## Modeling of Optical Systems

- projection imaging incl. aberrations, spatial coherence, polarization effects in high NA systems
- rigorous diffraction modeling (FDTD, waveguide method): optical and EUV-masks, defects
- interaction of light & photoresist/wafer

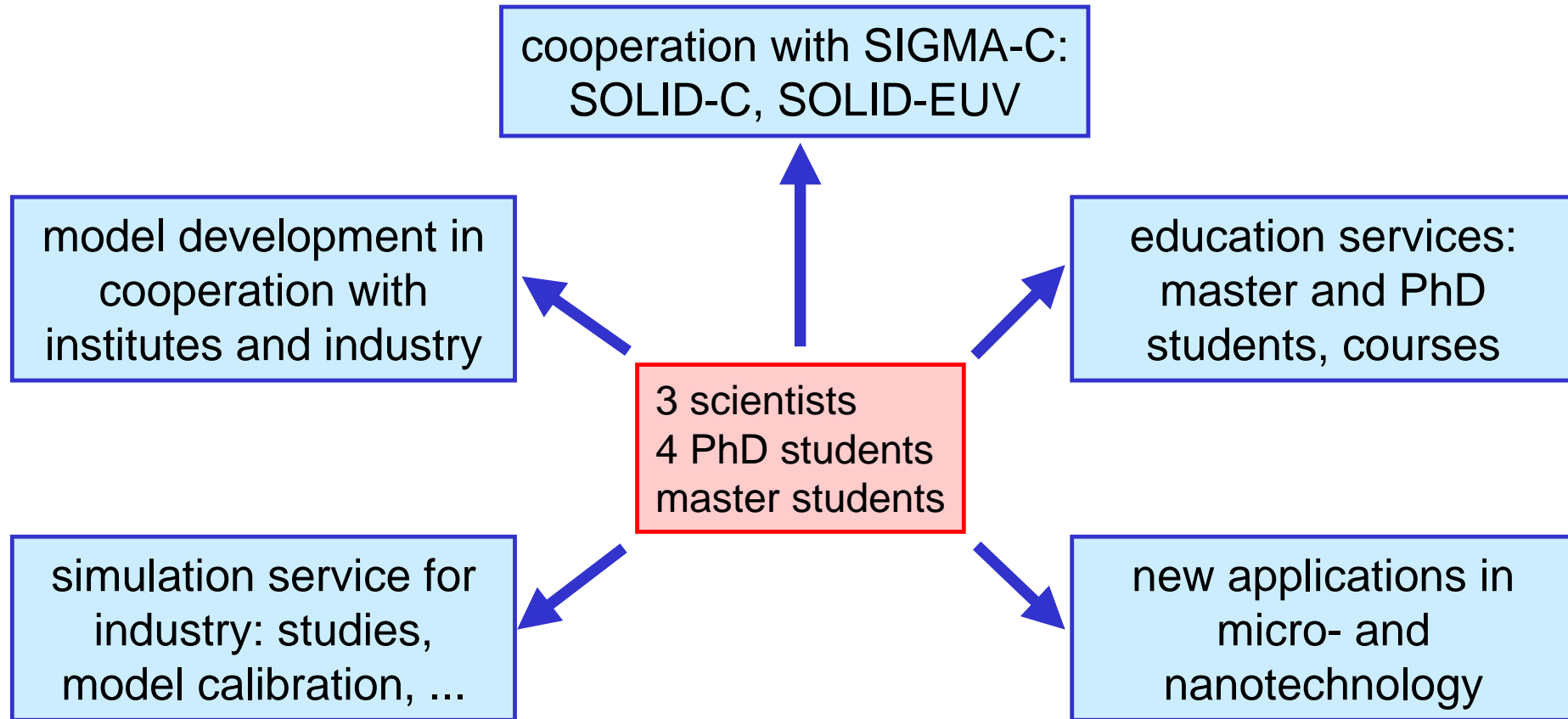
## Modeling of Photoresists

- bake : semi-empirical models for kinetic/diffusion processes during baking
- chemical development: flexible rate and surface propagation models
- very efficient models for approximate characterization of photoresist during processing

## Full System Simulation

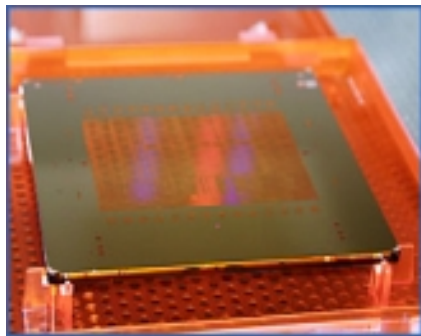
- calibration of model parameters (esp. resist) with experimental data
- process evaluation (process windows, defect-printability, MEEF, ...), correlation of parameters
- optimization of mask and source geometries with genetic algorithms

# Projects of the Lithography Group



# Optical Projection Techniques - Chip Fabrication

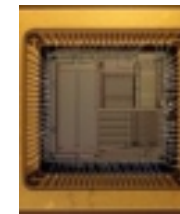
feature sizes: 100 nm  
magnification: 1/4



**chromium  
mask**

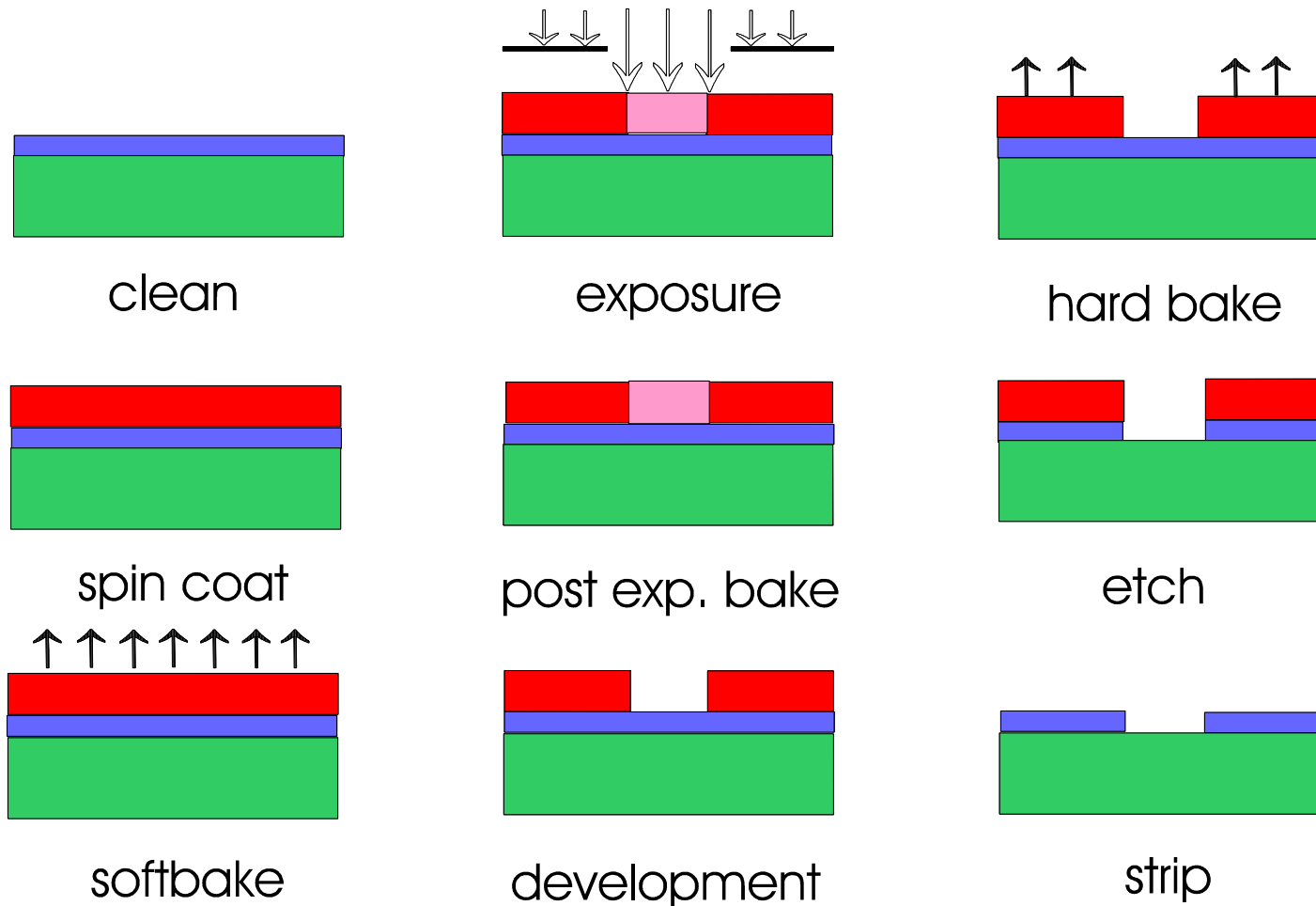


**ASML projection scanner  
from 2001**



**microprocessor  
chip**

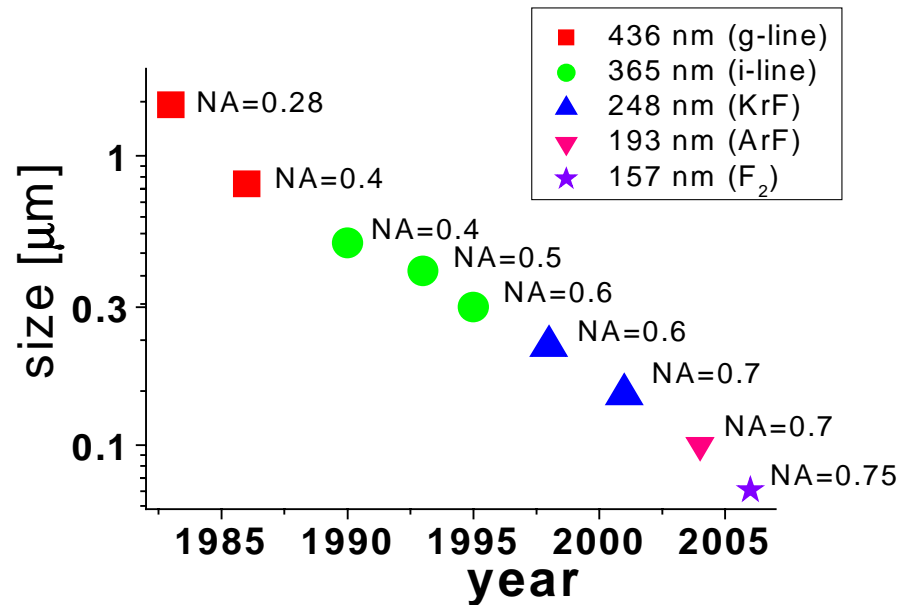
# Lithographic Process: Overview



# Performance of Lithographic Processes

International Technology Roadmap for Semiconductors (ITRS), 2001

prices for lithographic exposure equipment (stepper/scanners)



g-line: < 1 Mio \$  
i-line: 1-3 Mio \$  
KrF: 4-8 Mio \$  
ArF: 8-18 Mio \$  
F<sub>2</sub>: ca. 30 Mio \$  
EUV: 50-60 Mio \$

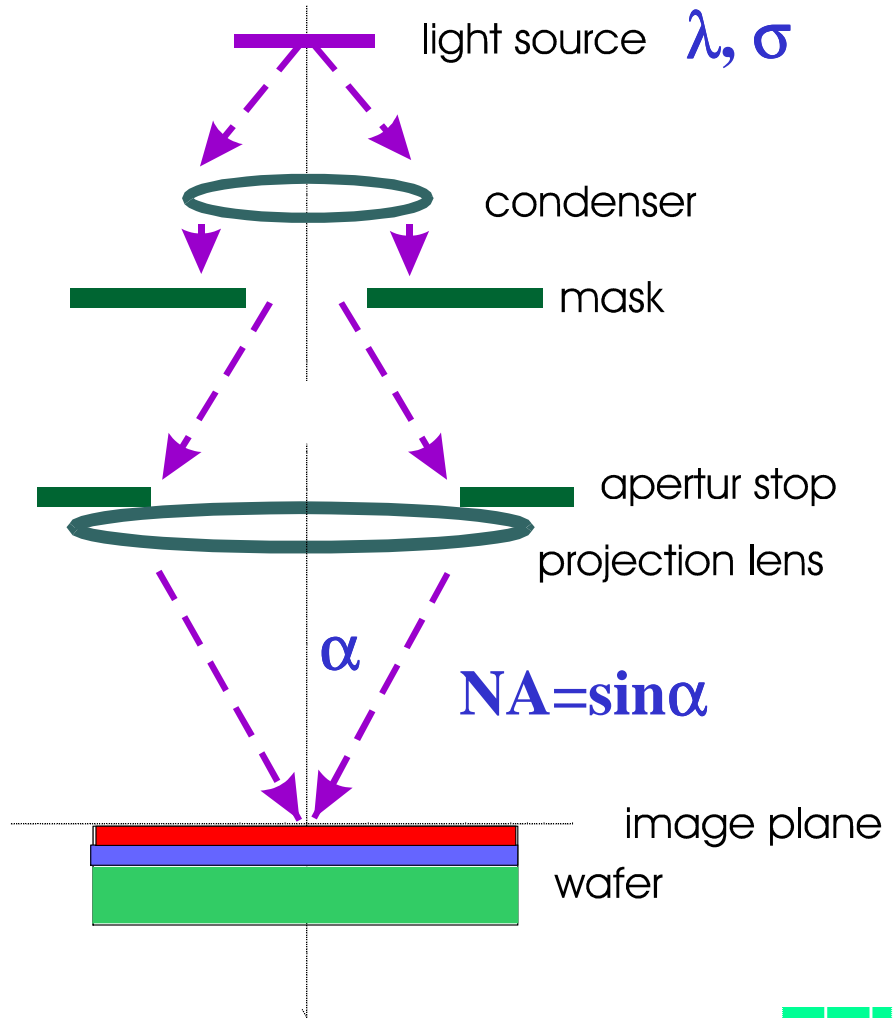
# Basics: Aerial Image Formation in Optical Projection Systems

## assumptions:

- infinitesimal thin mask with complex transmission
- projection lens and condenser lens are characterized by complex transfer functions

## method:

- Fourier-Optics including methods to cope with partial coherence, apodization, wave aberrations, polarization, ...





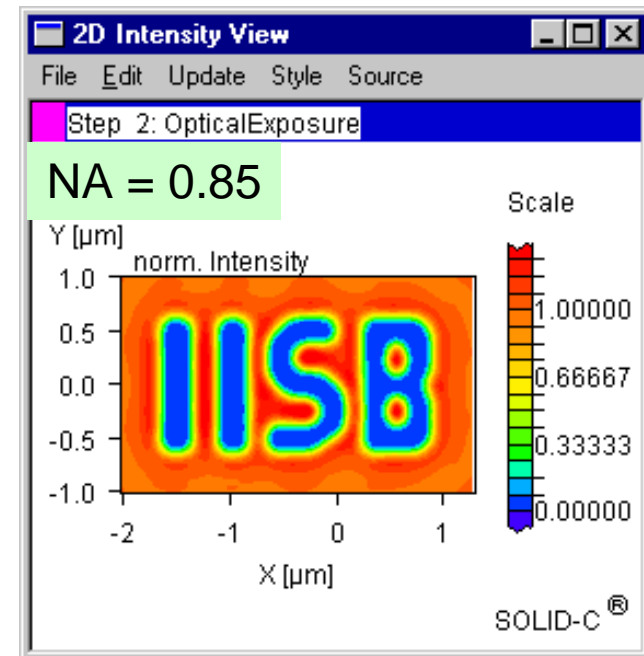
# Basics: Aerial Image Formation in Optical Projection Systems

mask layout

(smallest feature size: 250nm)



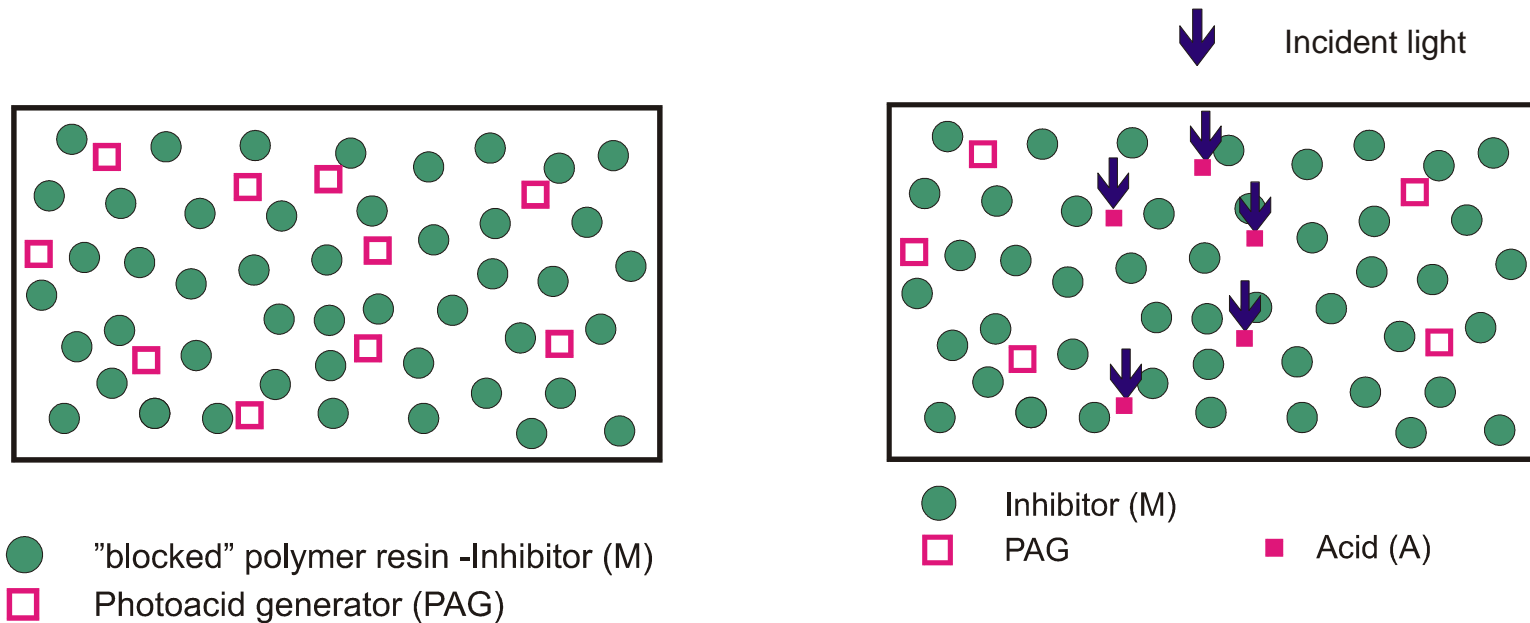
aerial image



imaging with an optical stepper/scanner  
( $\lambda=248\text{nm}$ , NA,  $\sigma$ , wave aberrations,...)

# Basics: Photoresist Processing

## Dill-model for lithographic exposures



Dill equations:

$$\frac{\partial [PAG]}{\partial t} = -C_{dill} \cdot I \cdot [PAG]$$

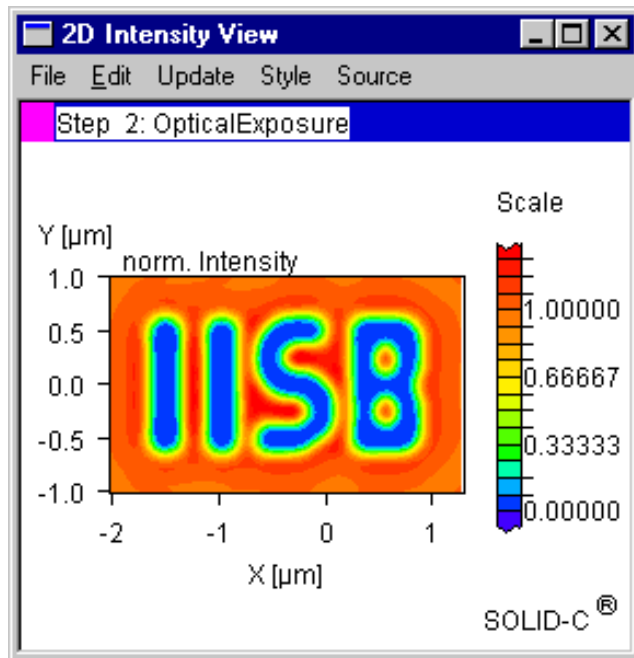
$$\alpha = A_{dill} \cdot [PAG] + B_{dill}$$

$$[A] = 1 - [PAG]$$

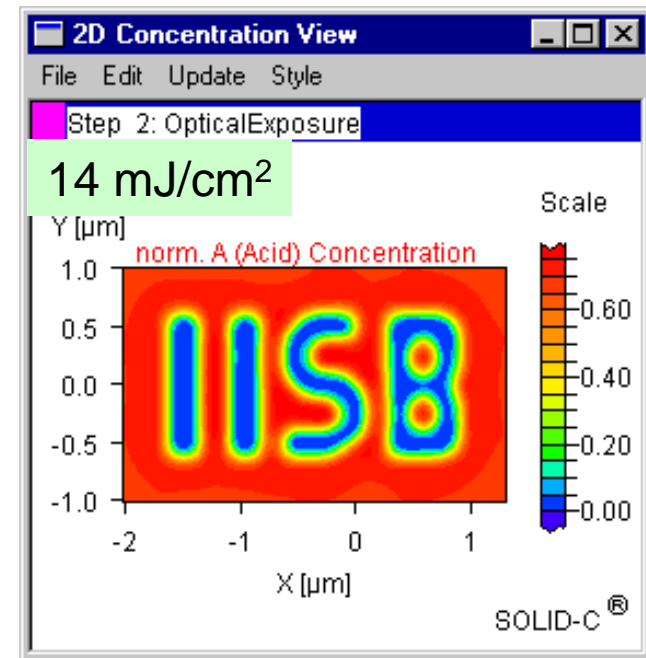
# Basics: Photoresist Processing

Dill-model for lithographic exposures (cont.)

aerial image



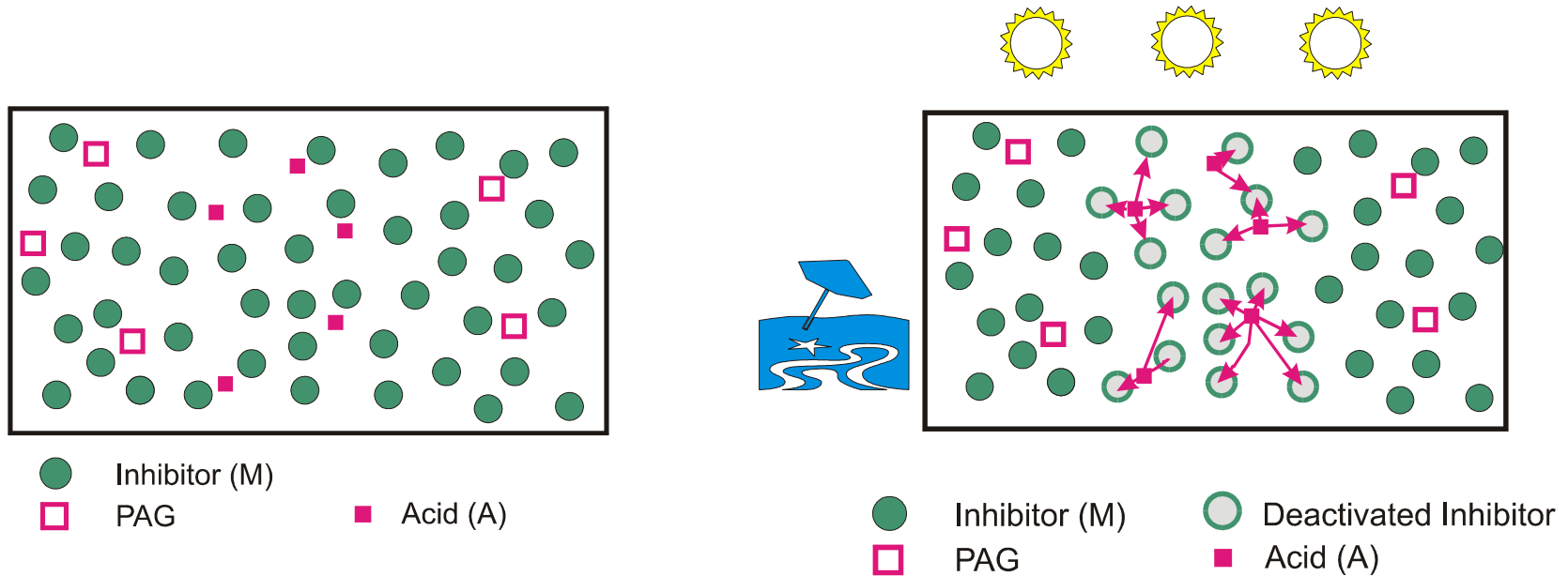
acid conc.



generation of photoacid in exposed areas  
(dose, Dill A,B,C)

# Basics: Photoresist Processing

post exposure bake (PEB)



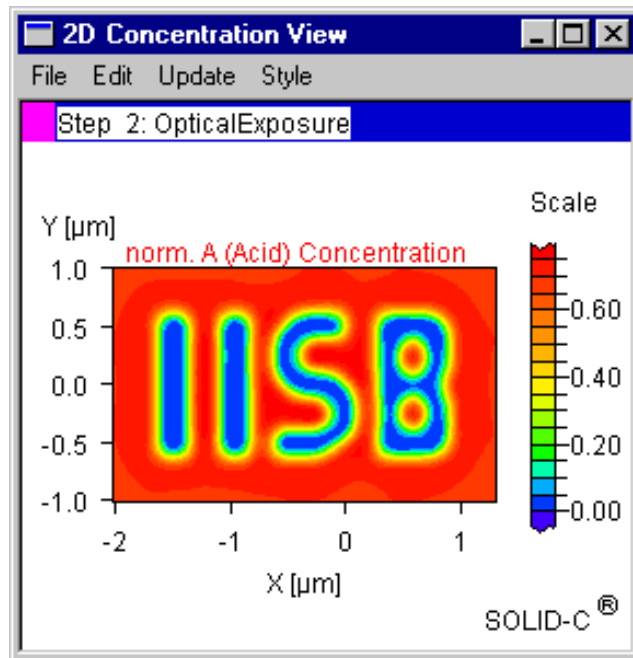
catalytic decomposition of inhibitor:

$$\frac{\partial[M]}{\partial t} = -K_{\text{amp}} [M] \cdot [A]^n$$

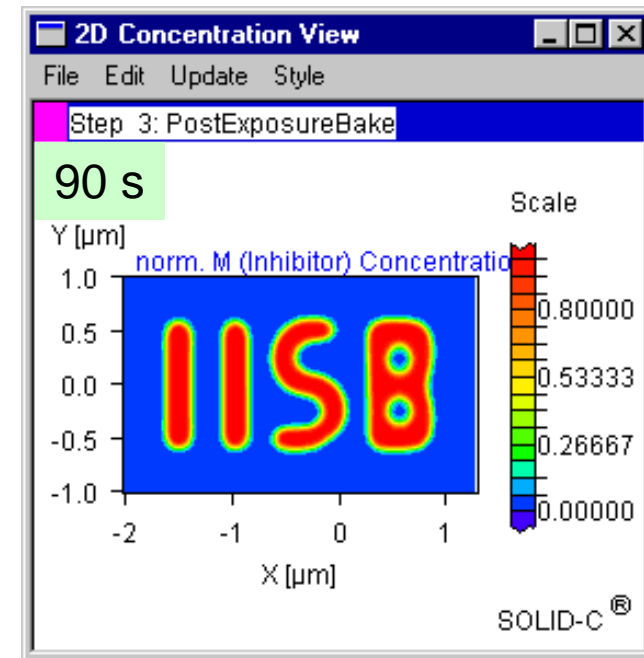
# Basics: Photoresist Processing

PEB (cont.)

acid conc.



inhib. conc.

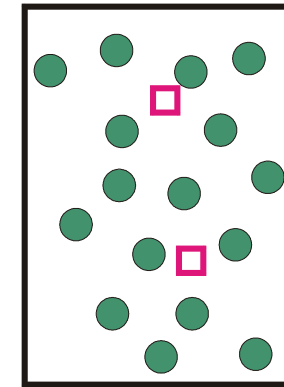
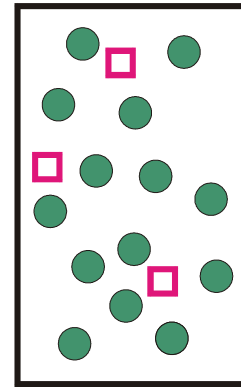
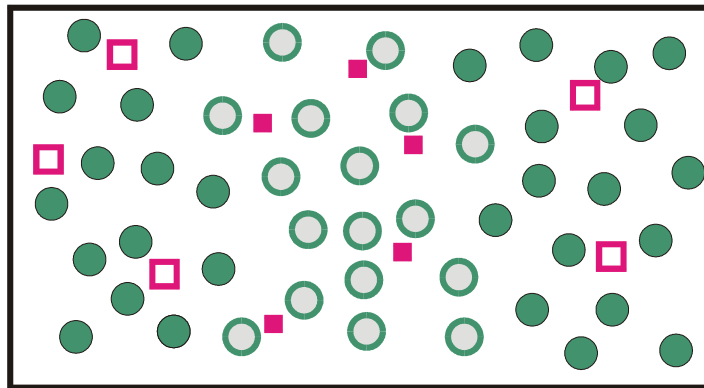


**acid catalyzed deprotection of dissolution inhibitors**

(PEB time, temperature, diffusion-  
and kinetik-parameters of photoresist)

# Basics: Photoresist Processing

chemical development



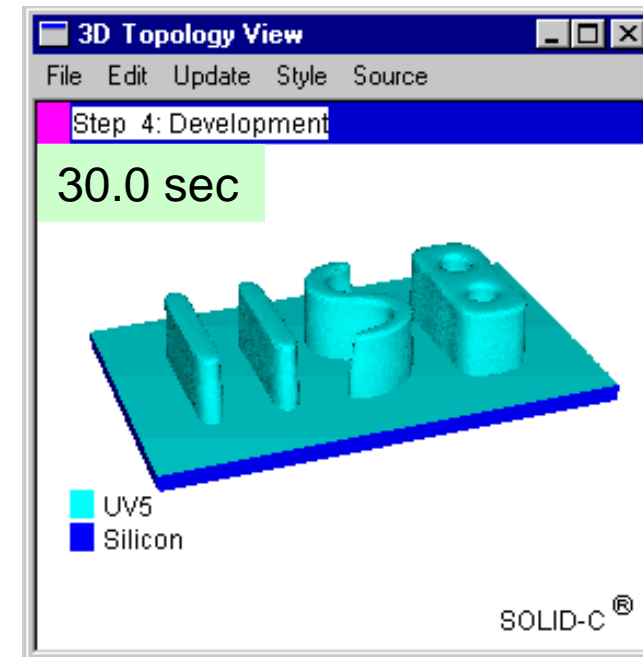
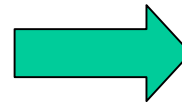
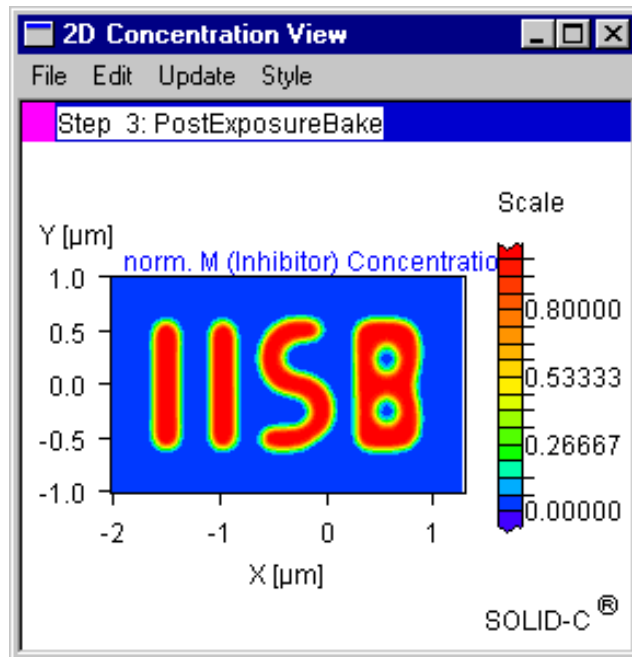
● Inhibitor (M)      ○ Deactivated Inhibitor  
□ PAG                  ■ Acid (A)

● Inhibitor (M)      ○ Deactivated Inhibitor  
□ PAG                  ■ Acid (A)

**dissolution of resist components with reduced inhibitor concentration**

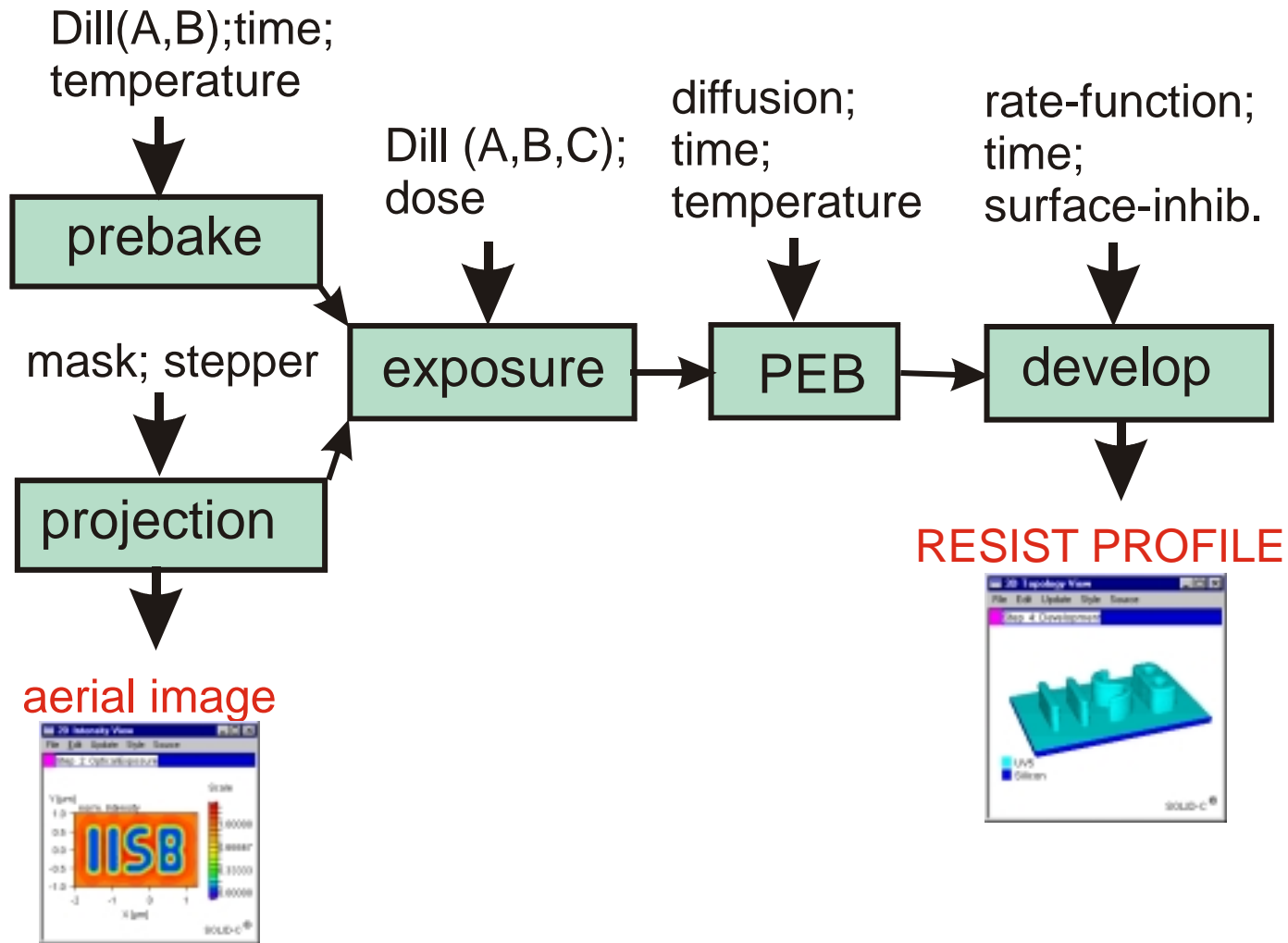
# Basics: Photoresist Processing

chemical development



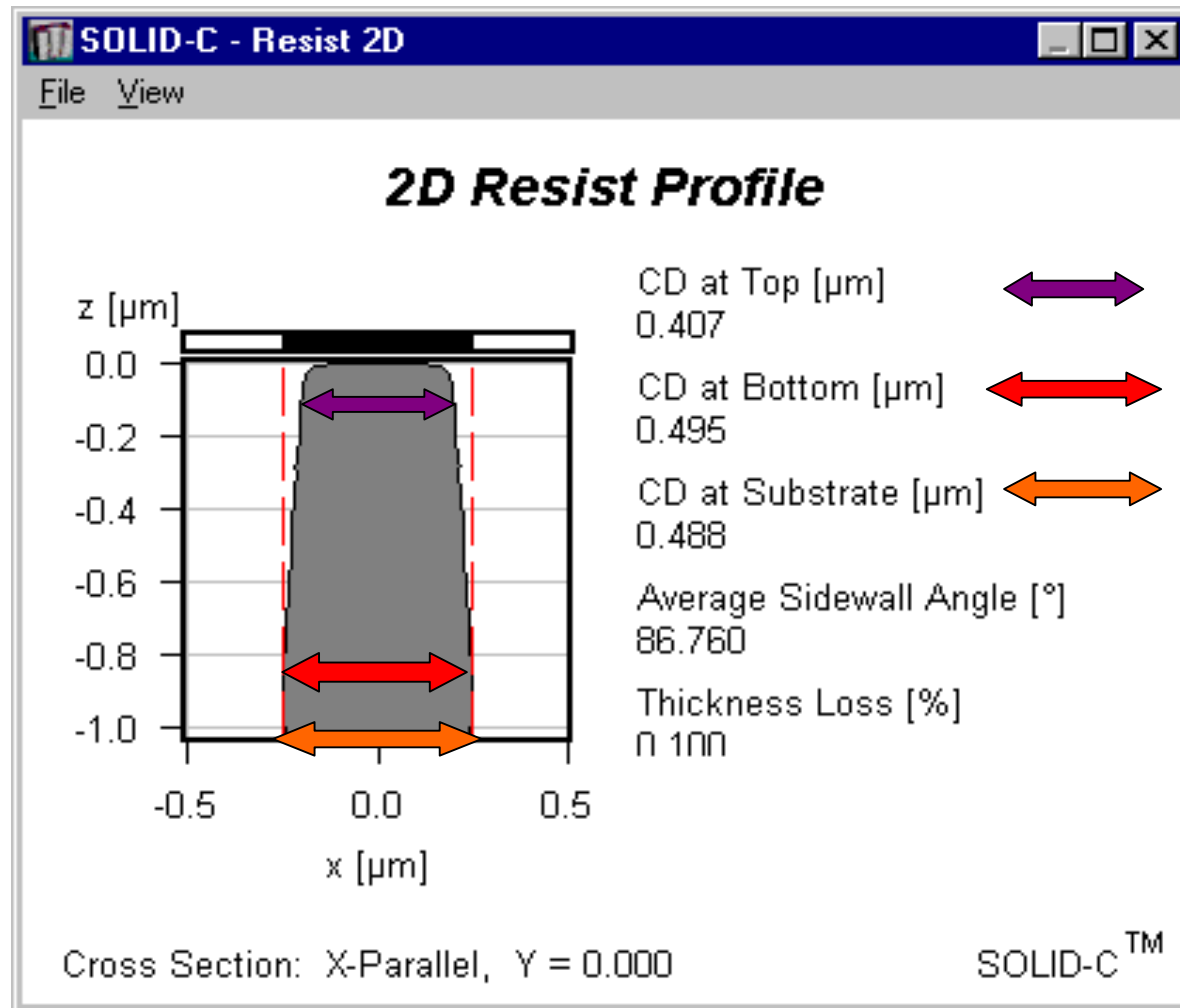
**chemical development of the photoresist in areas with reduced concentration of inhibitor**  
(time, temperature, development parameters of the photoresist)

# Basics: General Simulation Flow





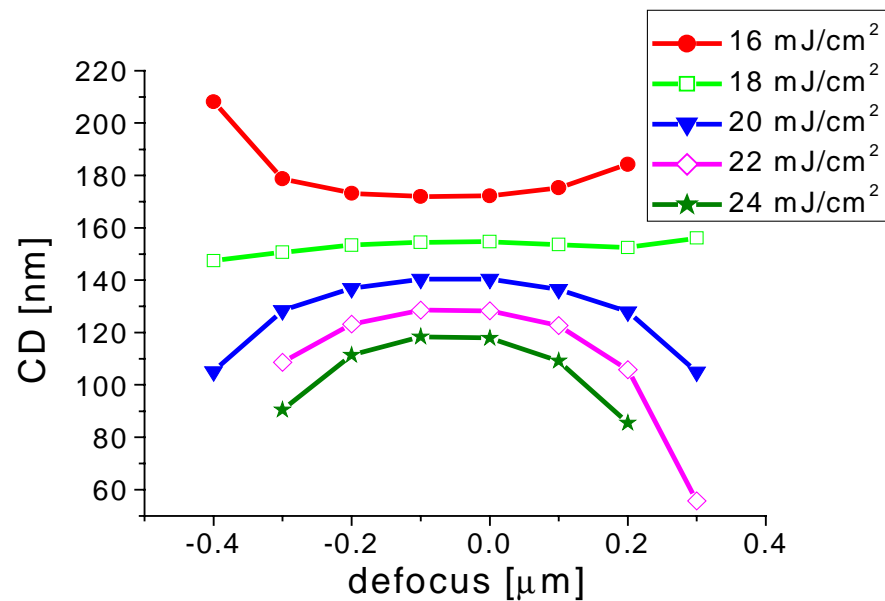
# Process Evaluation: Resist Profile



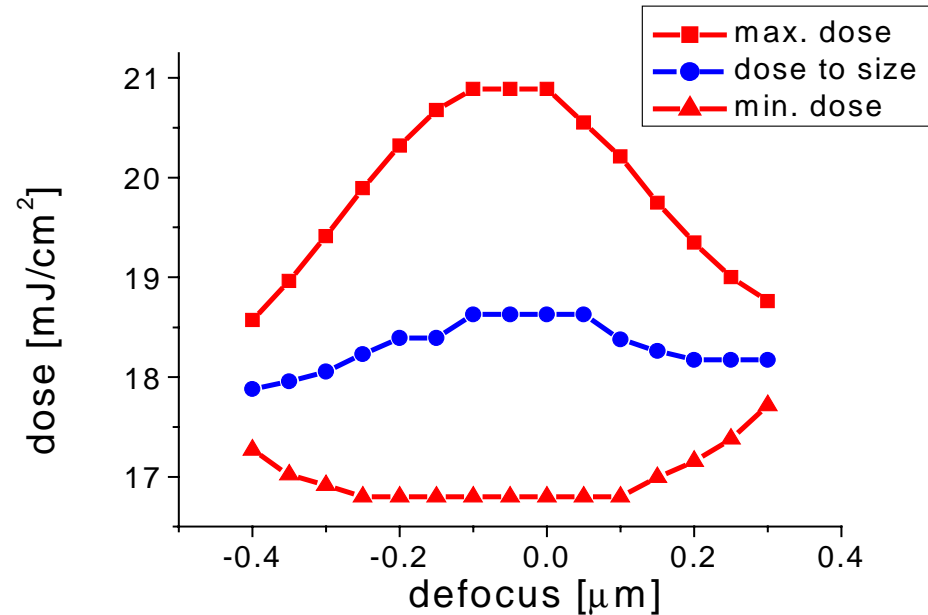
# Process Evaluation: Process Window

imaging of 150nm wide dense lines at  $\lambda=193\text{nm}$ ,  
NA=0.75, fixed illumination  $\sigma_{\text{in}}/\sigma_{\text{out}}=0.5/0.7$

## Bossung-curves



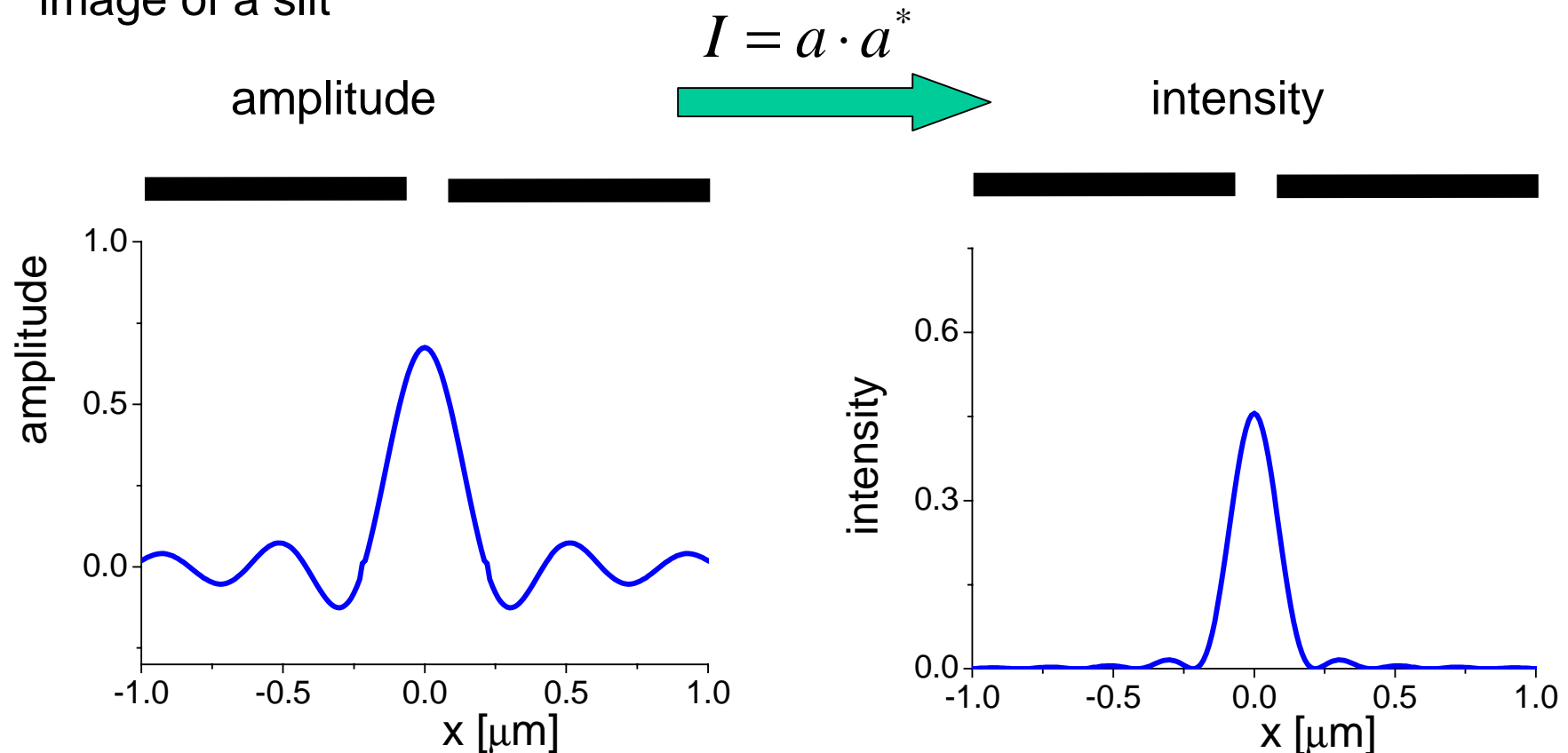
## process window



# Phase Shift Masks (PSM)

Why is a PSM better than a binary mask (BIM) ?

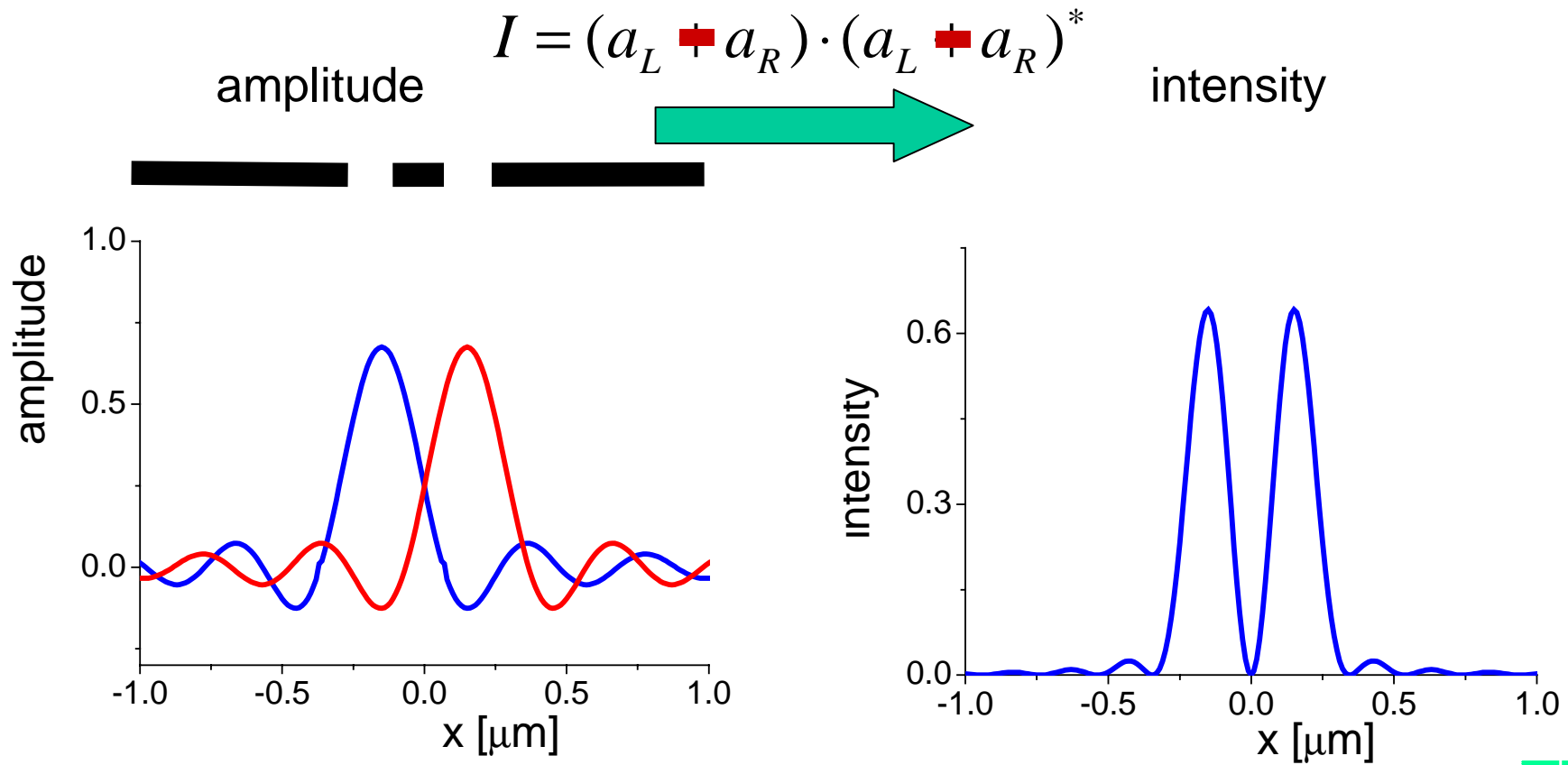
image of a slit



# Phase Shift Masks (PSM)

Why is a PSM better than a binary mask (BIM) ?

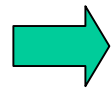
image of a pair of slits



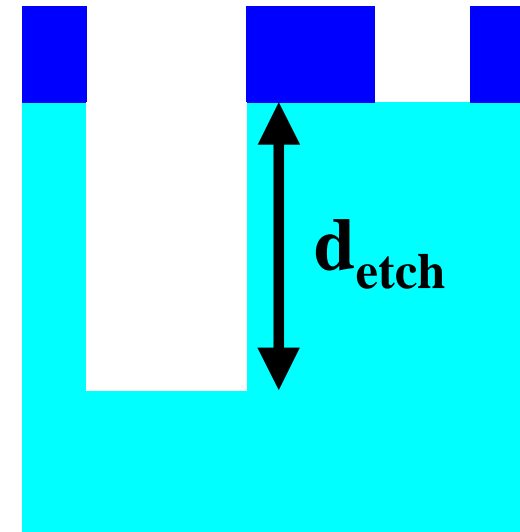
# PSM: How To Realize it in Practice ?

180° phase shift requires optical path difference of  $\lambda/2$ :

$$n_{\text{substrate}} \cdot d_{\text{etch}} - n_{\text{air}} \cdot d_{\text{etch}} = \lambda/2$$



$$d_{\text{etch}} = \frac{\lambda}{2[n_{\text{substrate}} - n_{\text{air}}]}$$



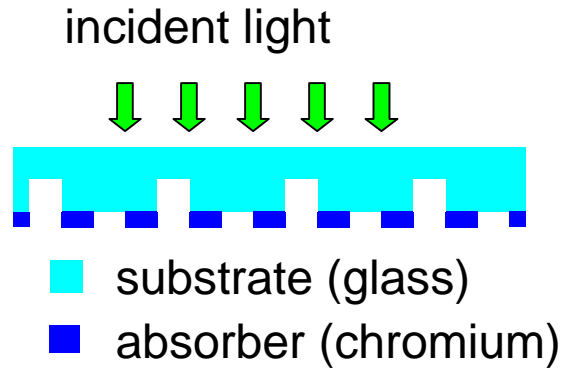
substrate (quartz or fused silica)



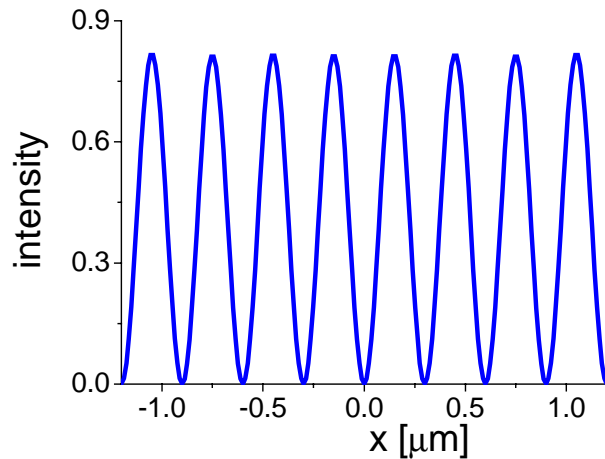
chromium

# PSM: Practical Performance

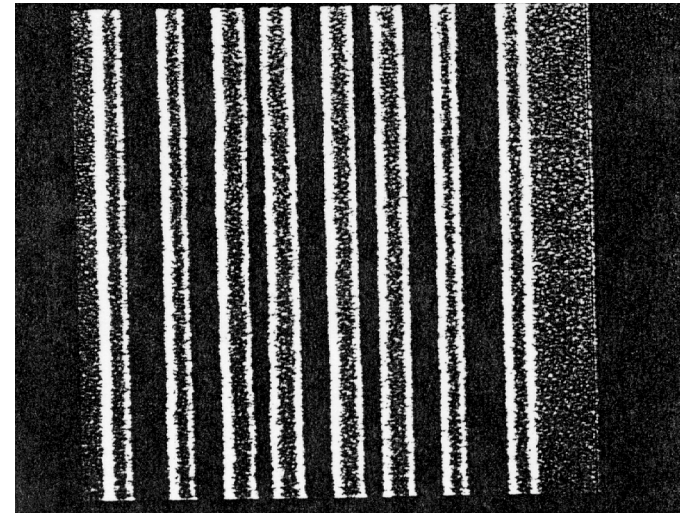
mask topography



simulated aerial image



SEM-photograph of patterned resist



What is wrong ?

# Basics: Aerial Image Formation in Optical Projection Systems

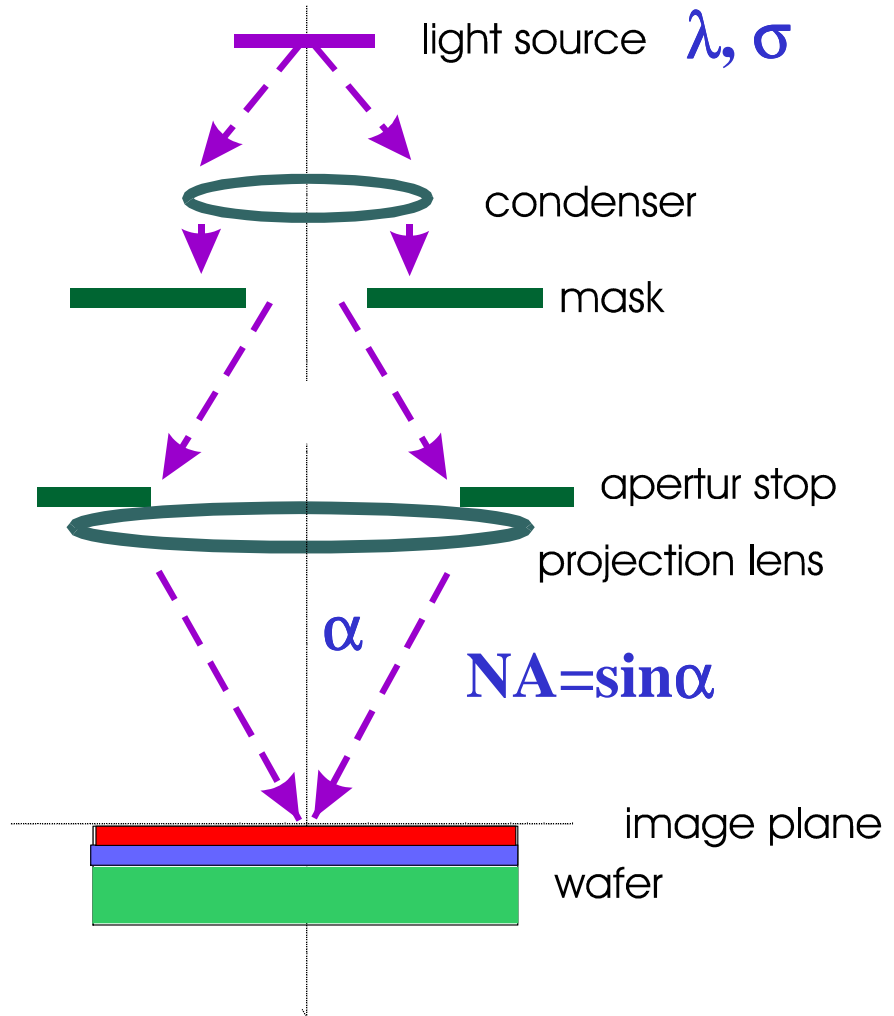
## assumptions:

- ~~infinitesimal thin mask with complex transmission~~
- projection lens and condenser lens are characterized by complex transfer functions

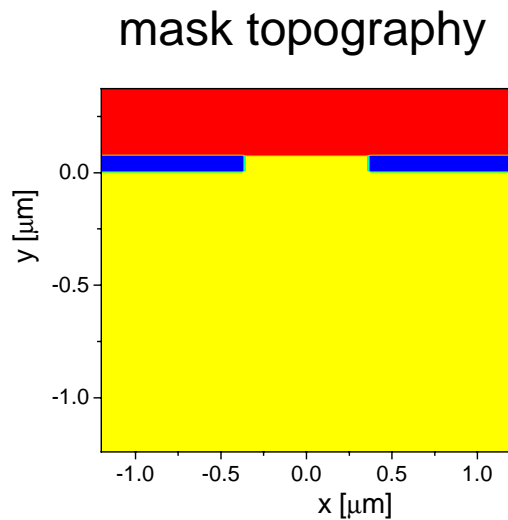
## method:

- Fourier-Optics  
including methods to cope with partial coherence, apodization, wave aberrations, polarization, ...

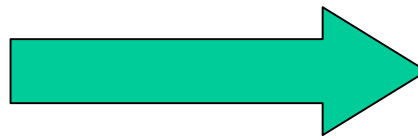
+ application of rigorous diffraction theory



# PSM and Topography Effects: Advanced Simulation Approach

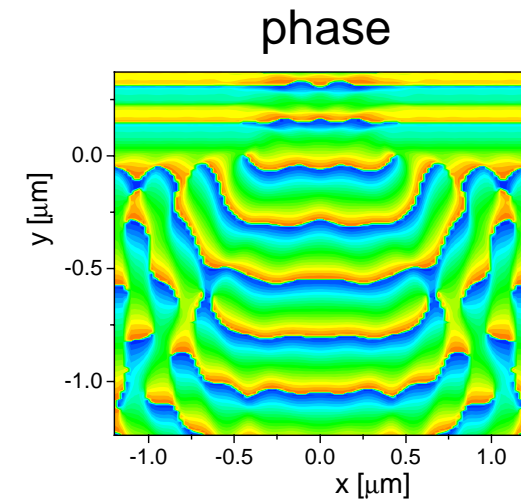
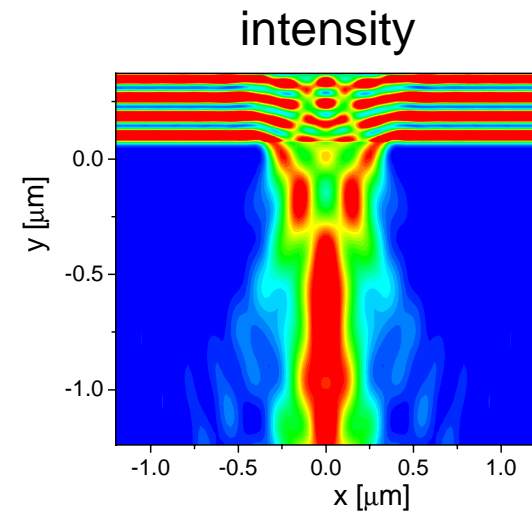


compute the EM-field by a rigorous field solver



**FDTD**

finite-difference  
time-domain  
algorithms

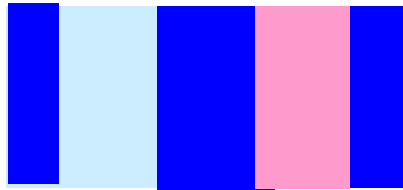




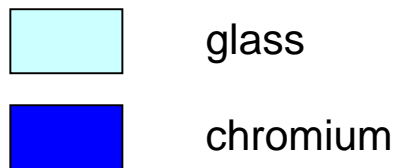
# PSM and Topography Effects: Infinitely Thin Mask Assumption (Kirchhoff Approach)

geometry

top view

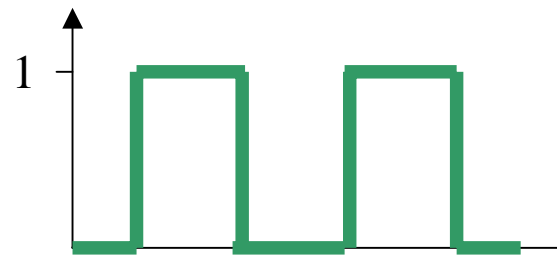


cut



transmission

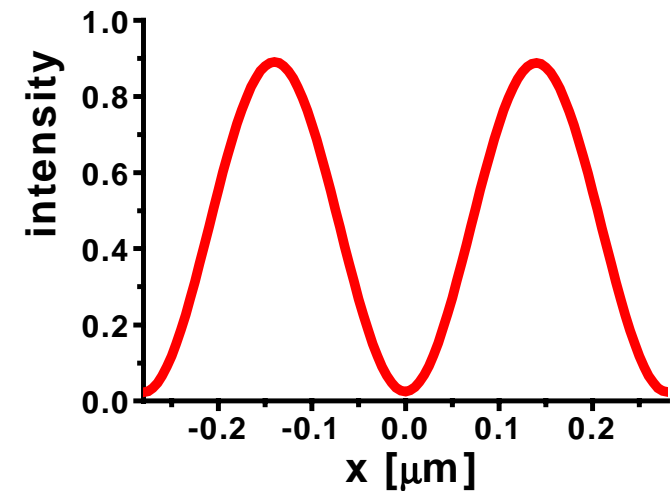
amplitude



phase

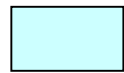
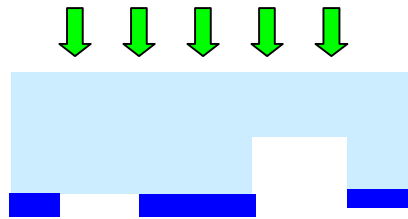


aerial image



# PSM and Topography Effects: Advanced Simulation Approach

geometry

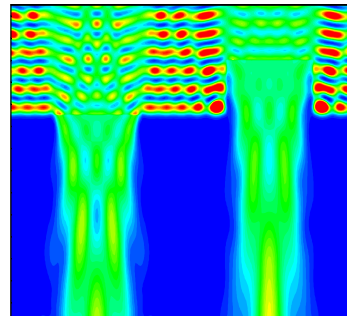


glass

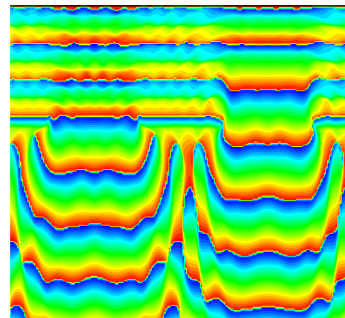


chromium

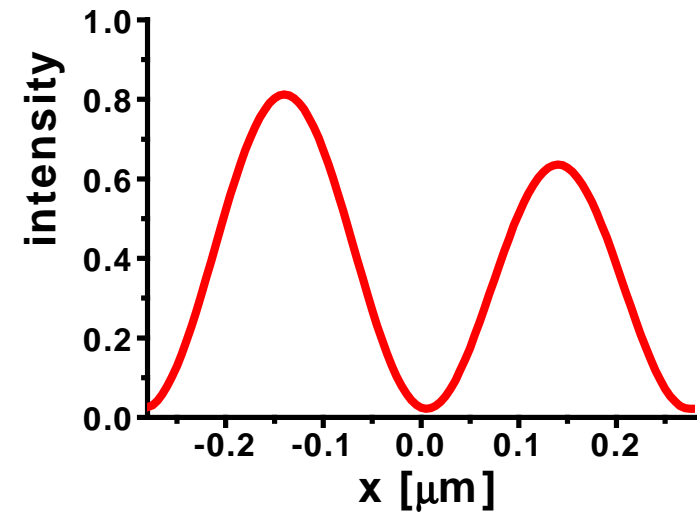
intensity



phase

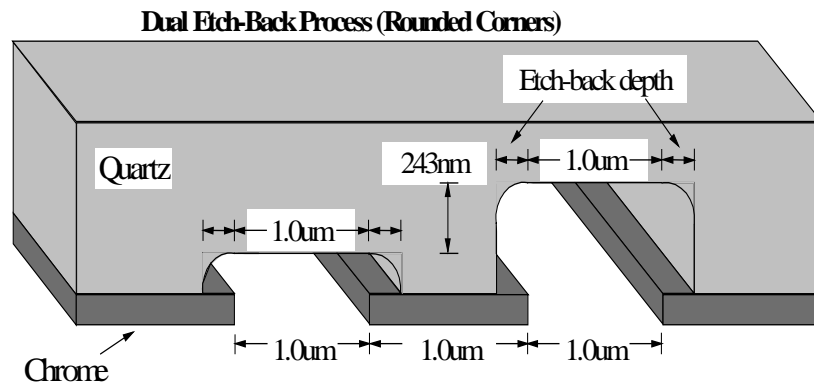


aerial image

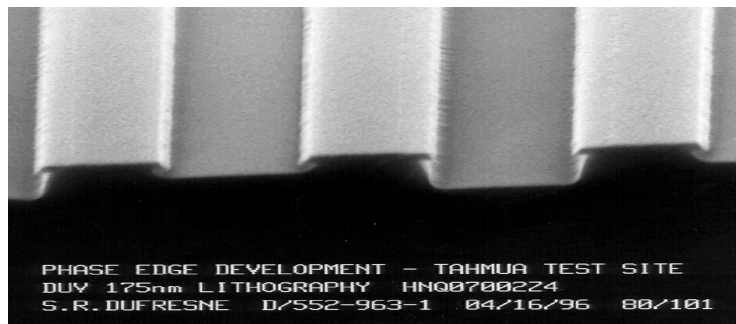


# PSM and Topography Effects: Consequences

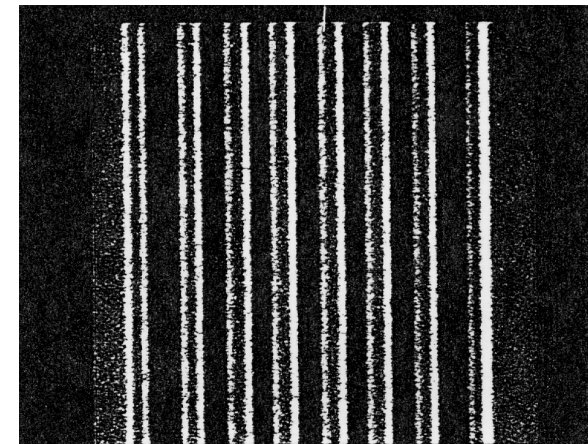
optimized geometry of the mask



SEM-photograph of patterned mask



SEM-photograph of patterned resist



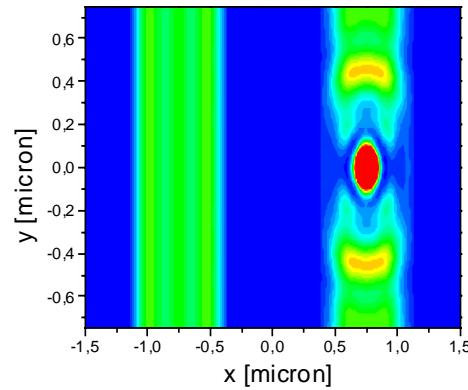
from: A. Erdmann, R. Gordon:  
„Mask Topography Effects in  
Resolution Enhancement Techniques“

# PSM Topography Effects: Defects

bump defect (cut)



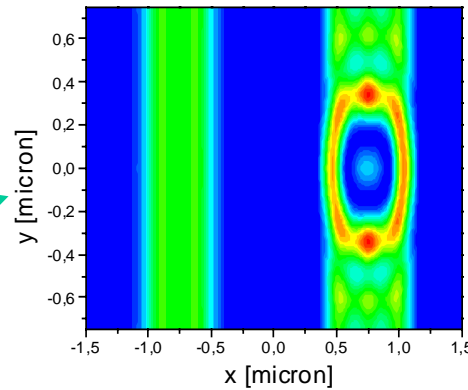
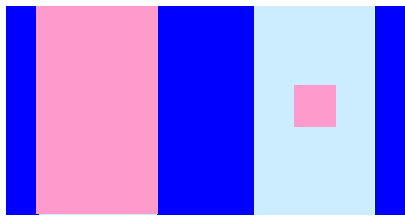
near field



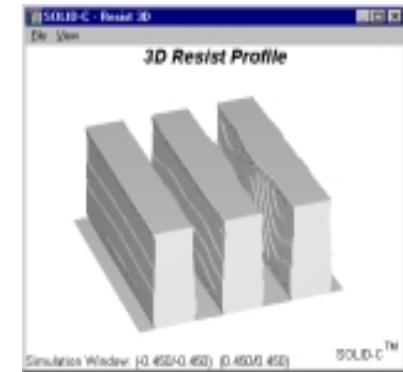
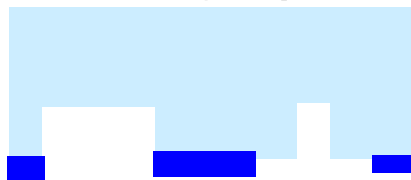
resist profile



mask (top view)



etch defect (cut)



# PSM with Defects: Experiment and Simulation



cooperation with  
Infineon:  
Ch. Friedrich,  
A. Semmler

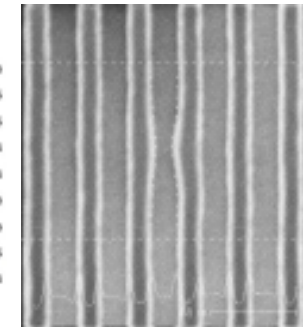
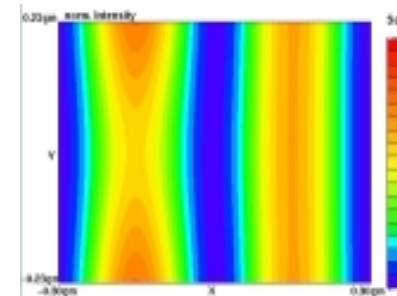
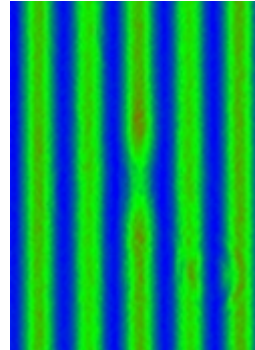
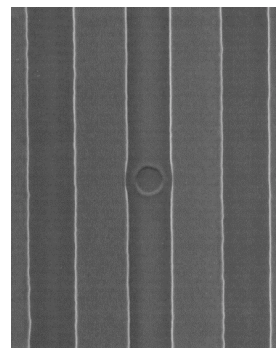
**hole 700 nm (5x) real 340 nm, best focus**

mask SEM

AIMS

Simulation

wafer SEM



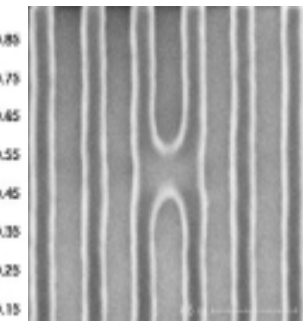
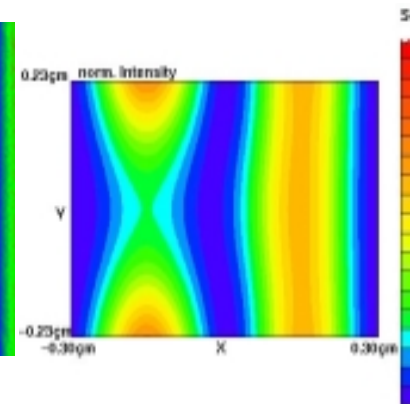
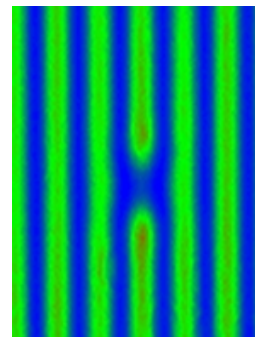
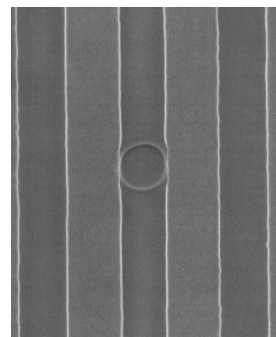
**hole 800 nm (5x) real 575 nm, best focus**

mask SEM

AIMS

Simulation

wafer SEM

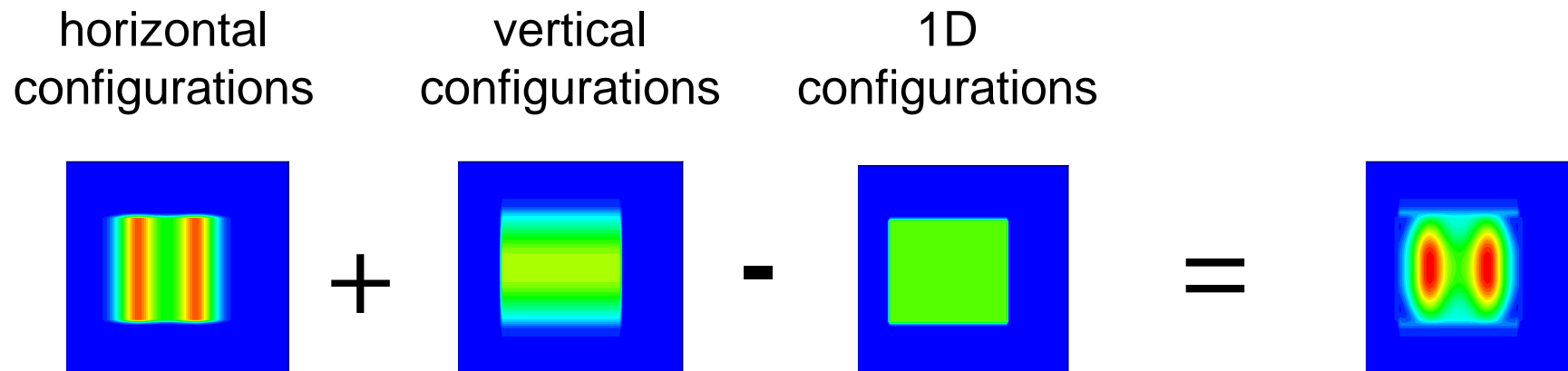


# Field Decomposition (QUASI 3D) for More Efficient Mask Topography Simulations

➡ first proposed by Kostas Adam (Uni Berkeley) at SPIE 2001

## simplification of the problem:

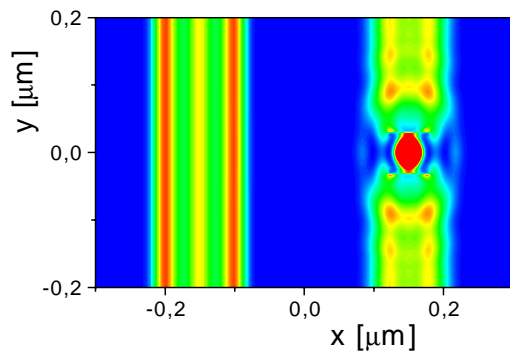
- edges of features on the mask occur only along few directions
- optical projection system covers only few diffraction orders



# Field Decomposition (QUASI 3D) for More Efficient Mask Topography Simulations

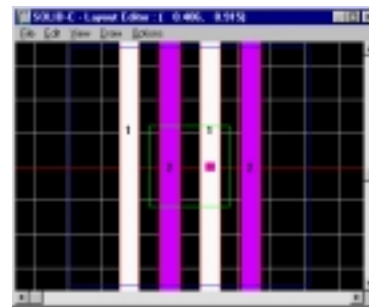
example: alternating PSM with defect

FDTD Full 3D:  
103 min, 910 MB

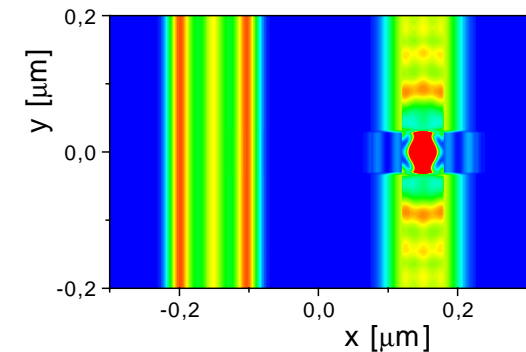


Kirchhoff:

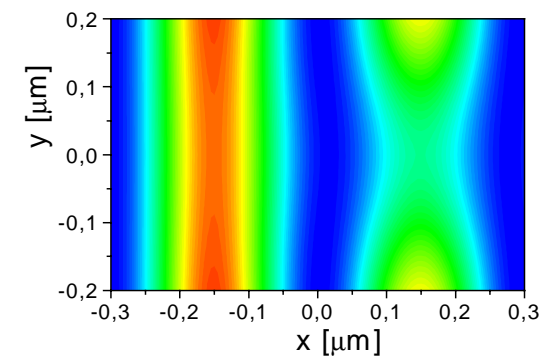
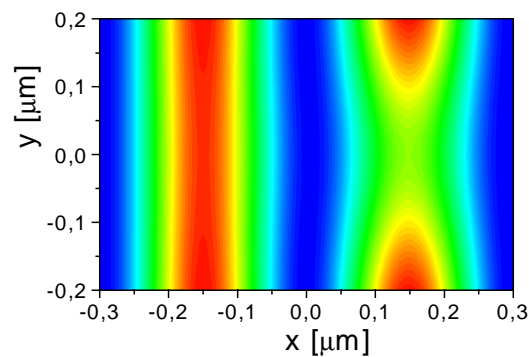
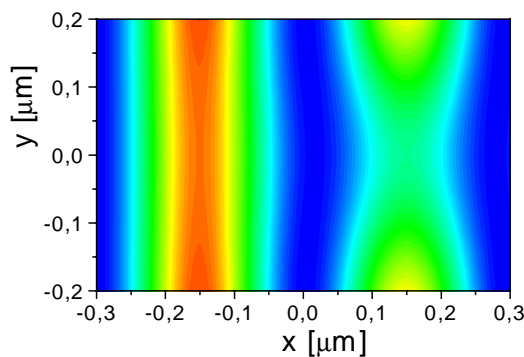
mask transmission



FDTD Quasi 3D:  
54 s, 1.9 MB

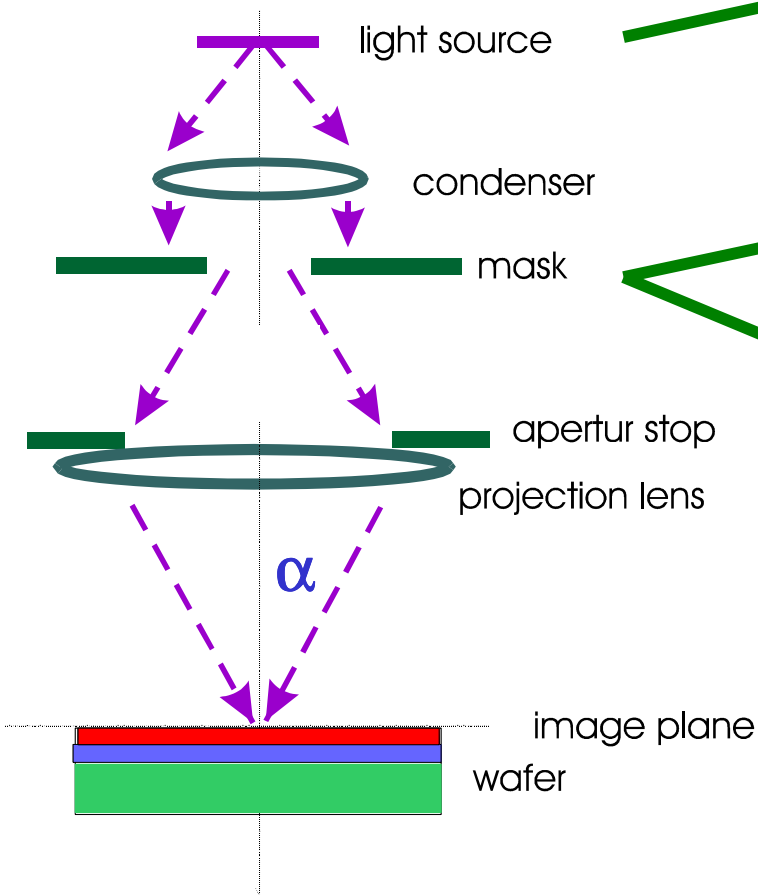


aerial images



# Optical Resolution Enhancement Techniques (RET)

lithographic imaging system



**OAI:**

- annular
- multipoles
- user defined

**OPC:**

- assists
- seriefs

**PSM:**

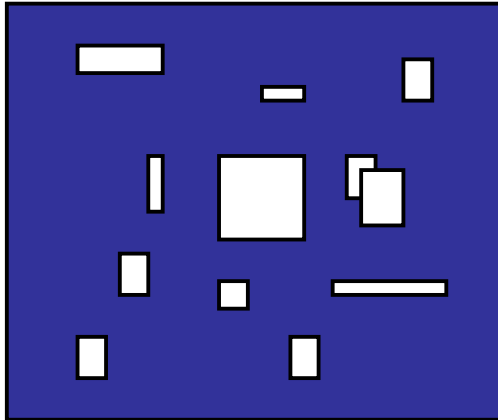
- attenuated
- alternating
- chromless

Which mask and illumination provide the best performance ?



# Mutual Optimization of Mask & Source: Variables

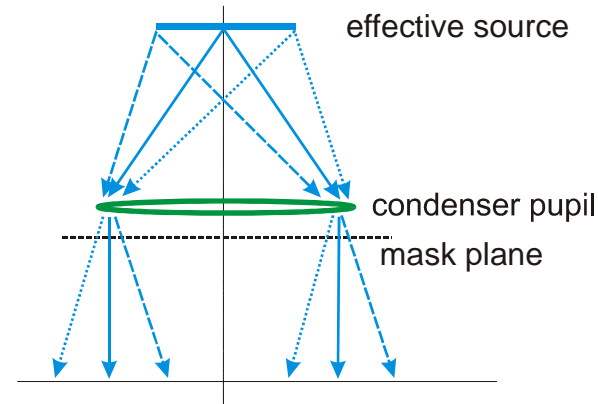
mask



list of rectangles

variables:  
position, size and  
number of rectangles

source/illumination



illumination of the  
mask by a  
spectrum of  
plane waves with  
different tilt ( $\tau_x, \tau_y$ )

illumination directions

variables:  
in this work we focus  
on multipole  
illumination

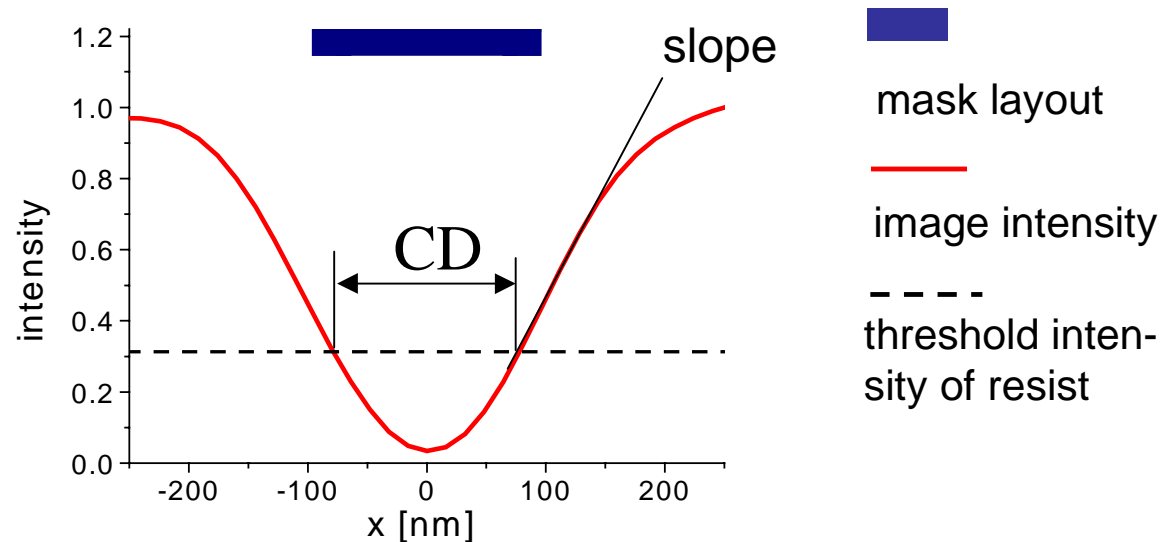


● ●  
● ●  
offset, radius,  
orientation, number

# Mutual Optimization of Mask & Source: Merit Function

➔ the resist is assumed to be a threshold detector

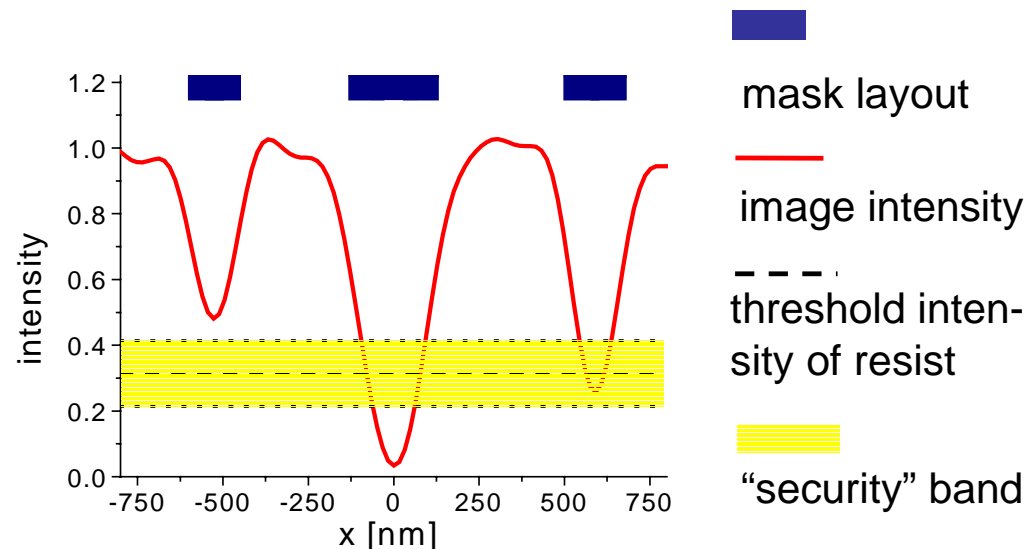
evaluation of the main feature:



- **critical dimension criterion ( $\Delta CD$ ):**  
compare the size the printed feature compared to target size
- **slope criterion (SC):**  
increase the slope of the intensity at the edges of the features to be printed

# Mutual Optimization of Mask & Source: Merit Function (cont.)

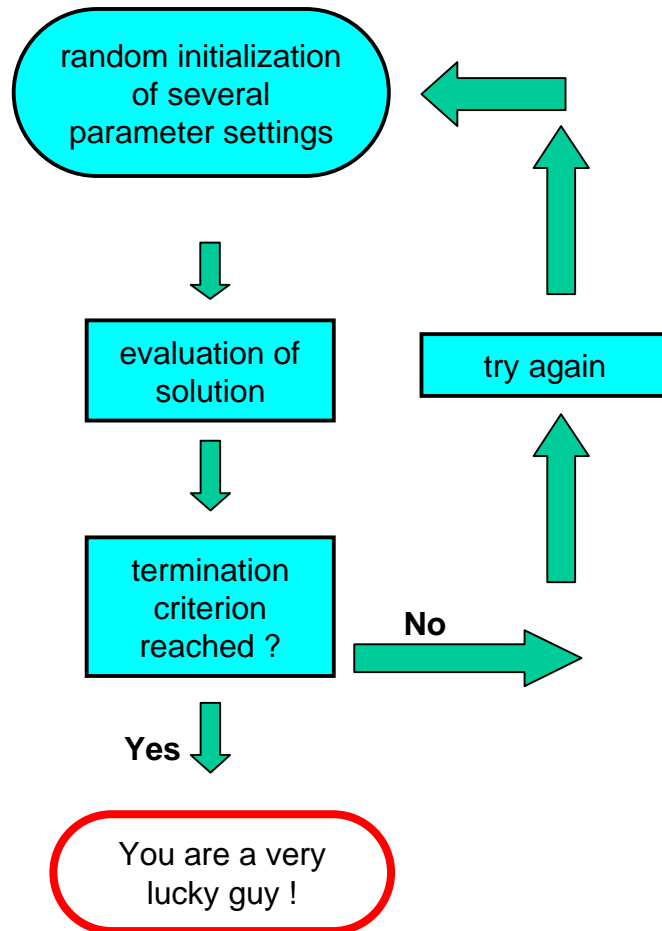
global image evaluation:



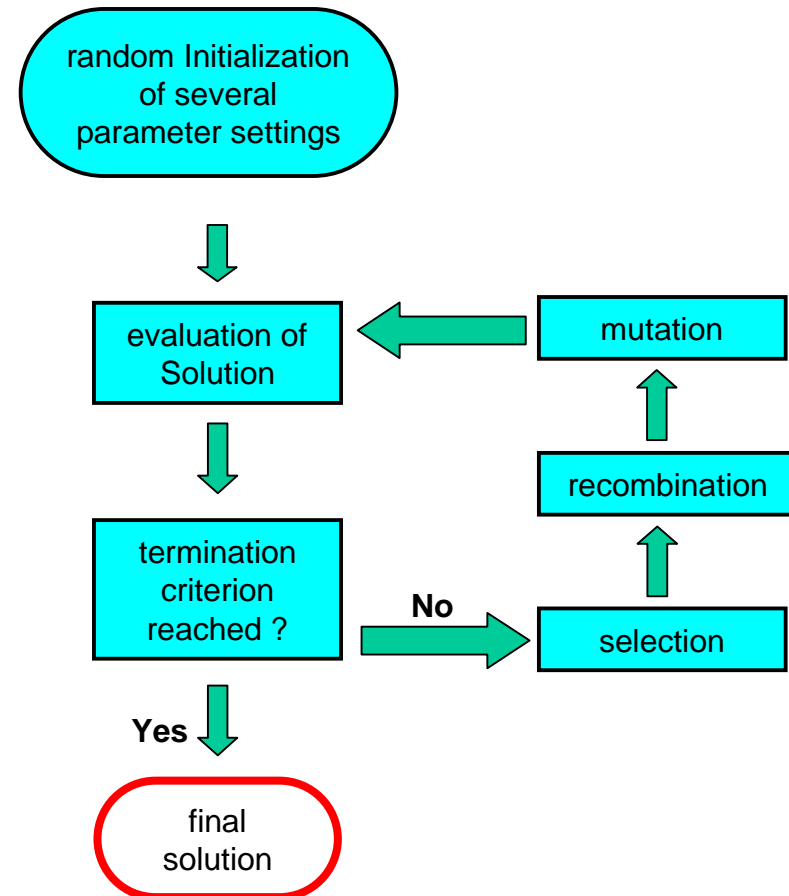
- **band criterion (BC):**  
punish image sidelobes which cross the security band
- **manufacturability criterion (MC):**  
count the number of transitions between different neighbored pixels  
exclude/punish bad rectangles (overlapping, too small areas and distances, ...)

# Optimization Procedure: Genetic Algorithm

random walk



genetic algorithm

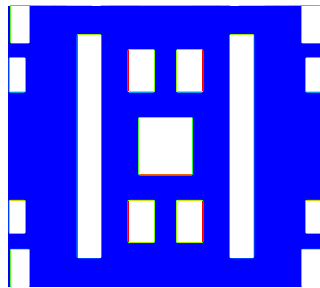


# Optimization Procedure: A First Demonstration

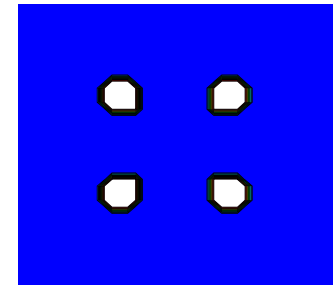
How to create a  $140\text{nm} \times 170\text{nm}$  contact hole with a large depth of focus?

mask: high transmission attenuated PSM; optics:  $\lambda=193\text{nm}$ ,  $\text{NA}=0.7$ , multipole illum.

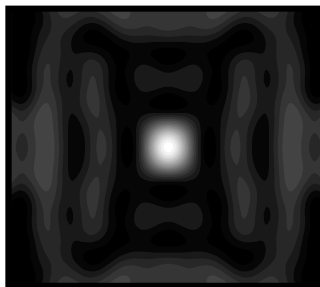
mask



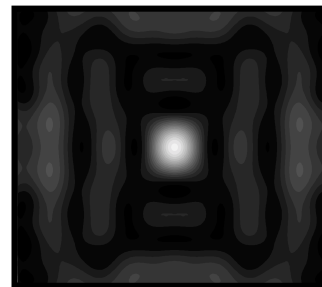
illumination



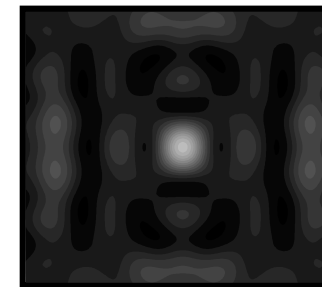
defocus=0nm



defocus=200nm

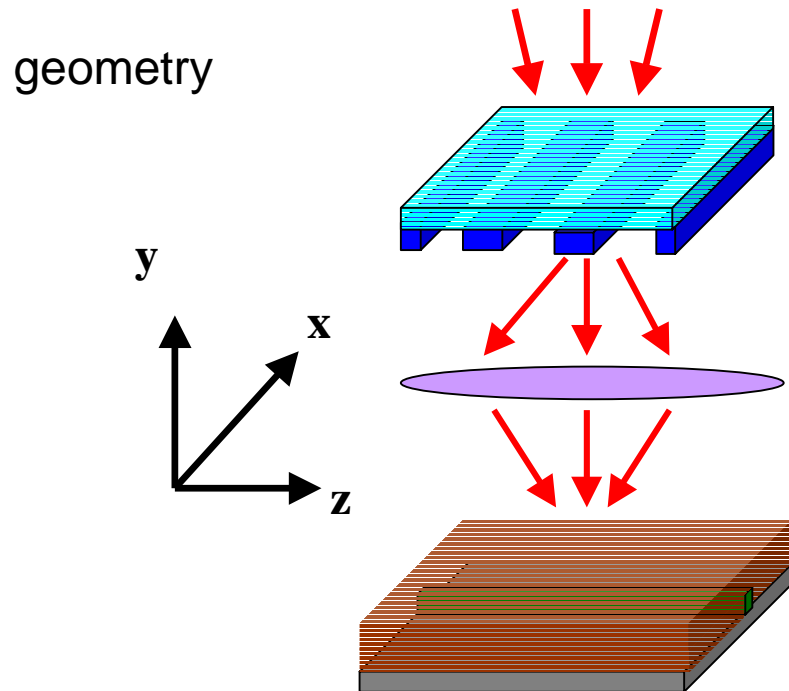


defocus=400nm



# Lithographic Exposures over Nonplanar Wafers

simulation settings for a typical problem



## exposure conditions:

NA=0.68, KrF, 4x,  $\sigma=0.45$ , defocus=-300 nm

**mask:** 250 nm lines/spaces (1:1)

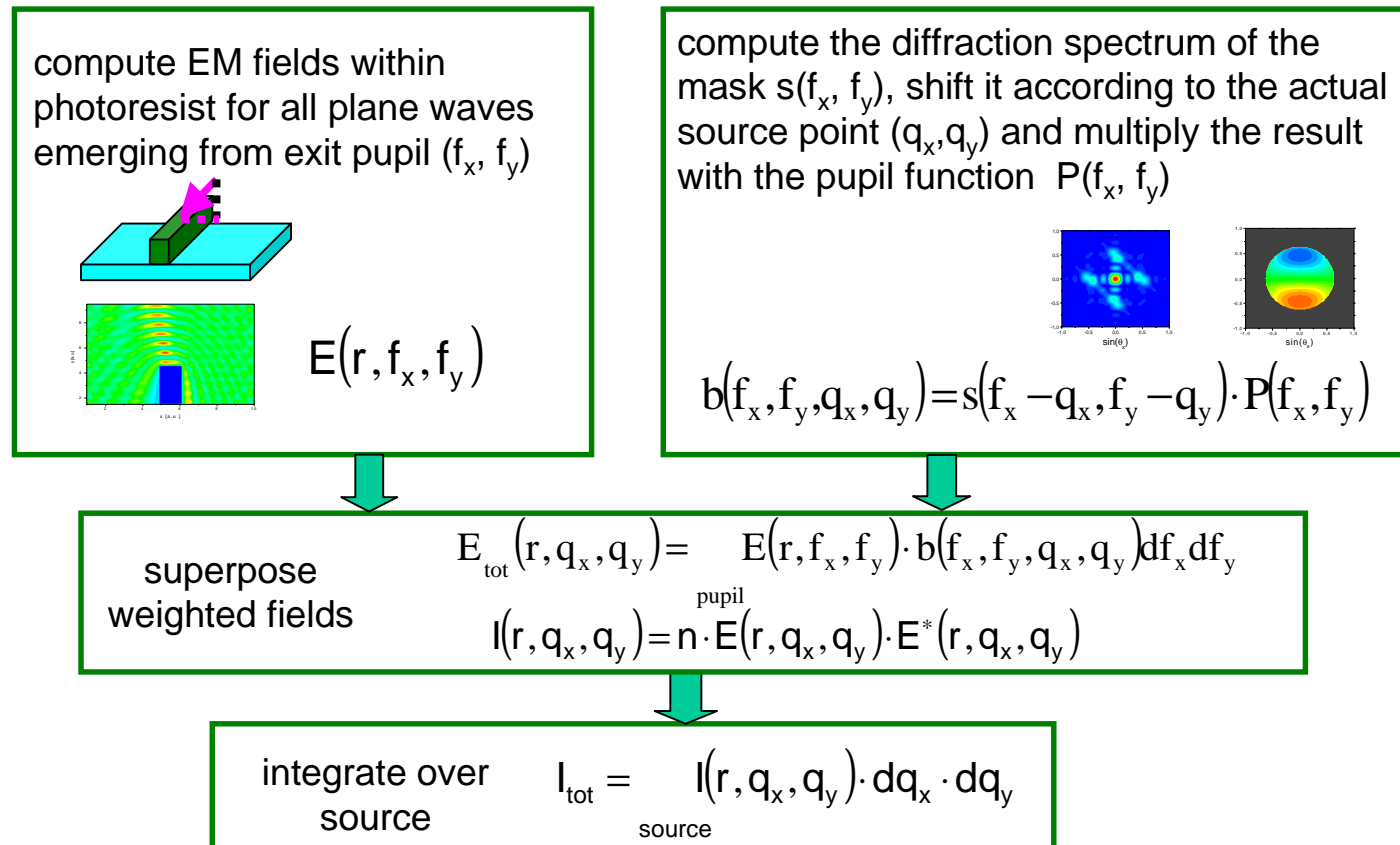
**wafer:** resist: air (500nm)

poly Si-line (w=100 nm, h=175 nm)

SiO<sub>2</sub> - substrate

# Lithographic Exposures over Nonplanar Wafers

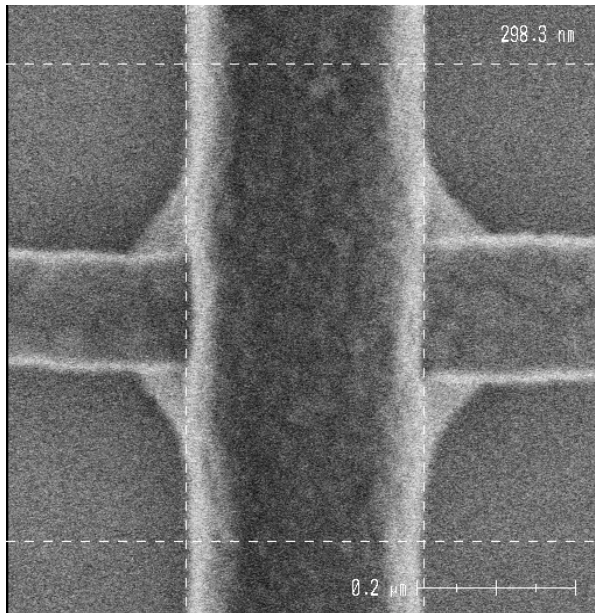
general scheme proposed at SPIE Microlithography 2003



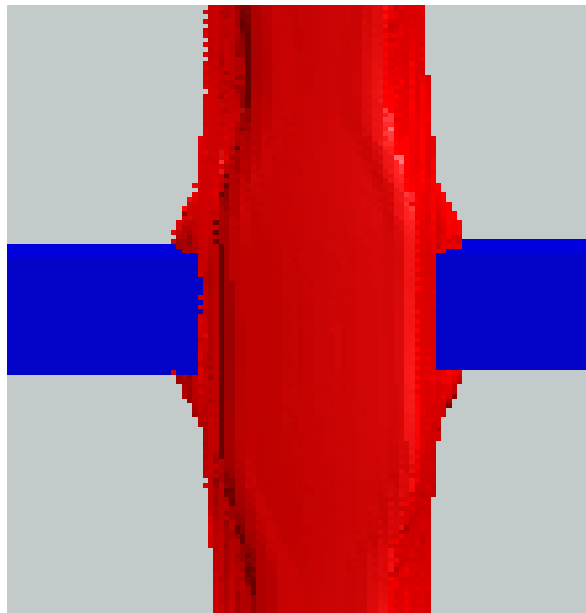
# Lithographic Exposures over Nonplanar Wafers

comparison with experiment

top-down wafer SEM  
(from T. Sato, Toshiba)



top-view of simulated  
resist profile



**exposure conditions:**

NA=0.6, KrF, 4x,  $\sigma=0.45$ ,  
defocus=-200 nm

**mask:** 250 nm lines,  
pitch=1000nm

**wafer:** resist (500nm)  
poly Si-line (w=140 nm, h=175  
nm), 2.5nm SiO<sub>2</sub> on Si-  
substrate

➔ Both experiment and simulation show a pronounced footing effect in the vicinity of the shadowed region at the bottom of the poly-Si line



# Lithographic Exposures over Nonplanar Wafers: RENFT

**problem:** FULL: rigorous simulations of exposures over non-planar wafers are extremely time and memory consuming



limited use for practical applications  
extension of present approaches to 3D geometries  
is not possible (memory consumption)

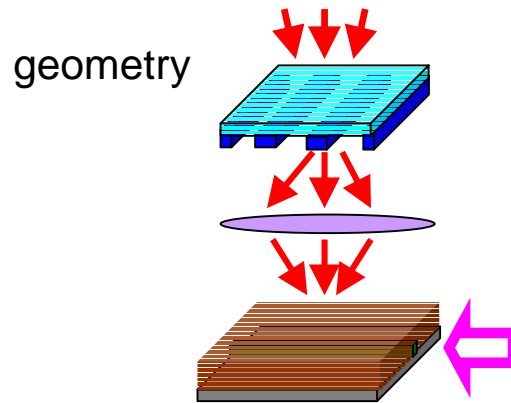
**proposed solution:**

decomposition of a full simulation into

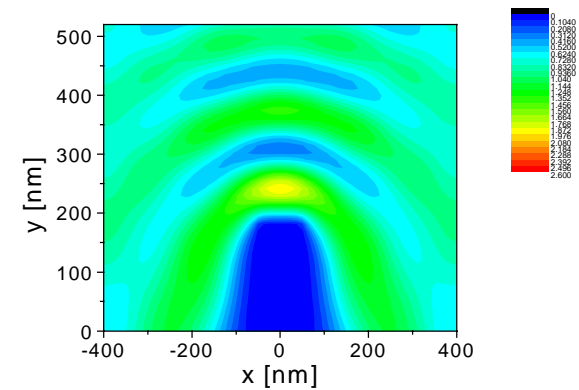
- **RENT**: real exposure no topography (without topography, application of standard analytical methods)
- **FET**: flood exposure over topographic wafer (no mask, rigorous simulation - but simplified conditions)
- **RENFT** =  $f(\text{RENT}, \text{FET})$

# Lithographic Exposures over Nonplanar Wafers: RENFT

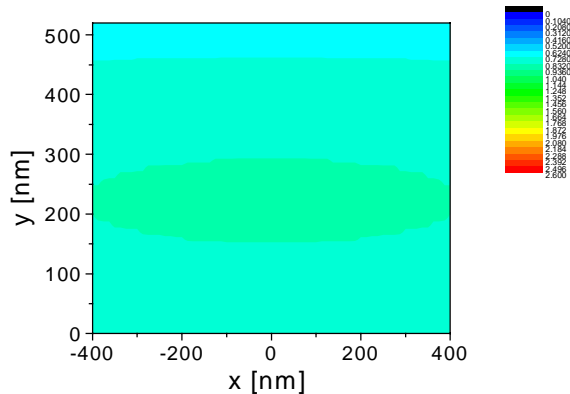
RENFT-concept: side view



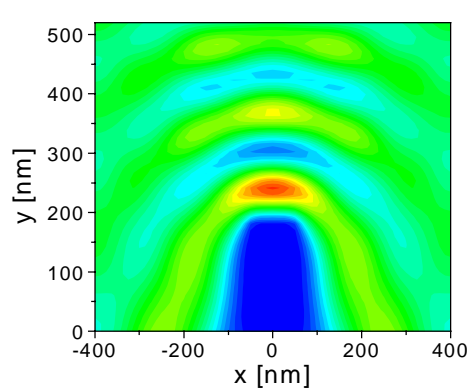
FULL



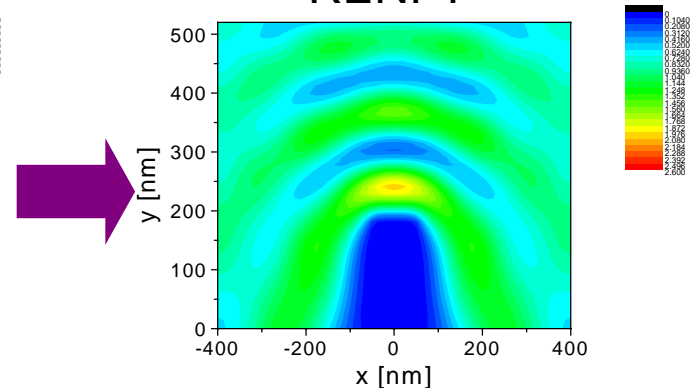
RENT



FET

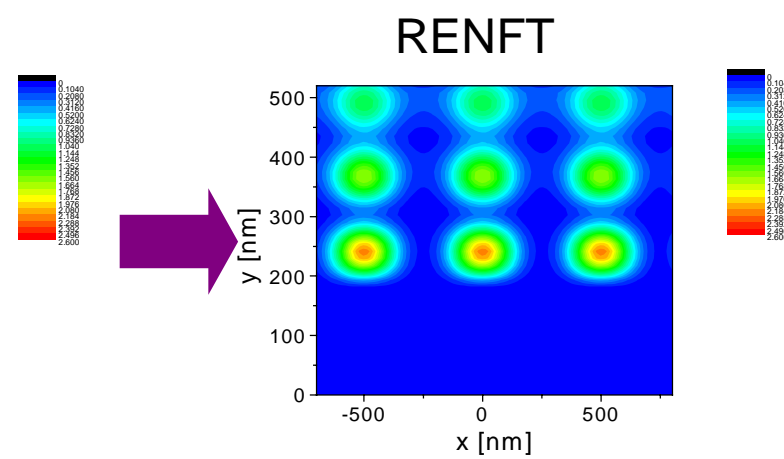
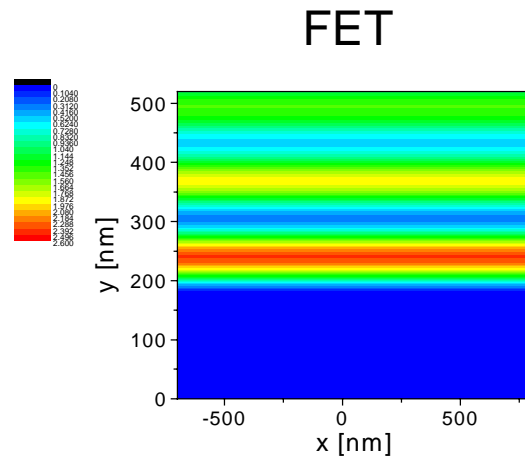
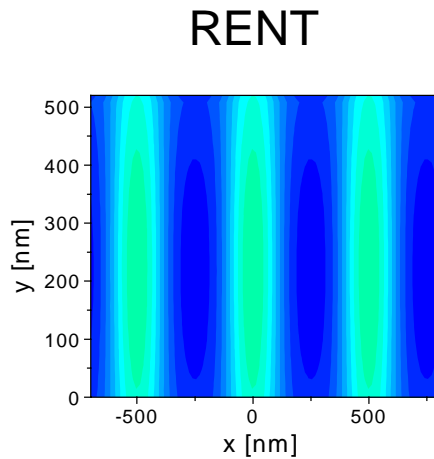
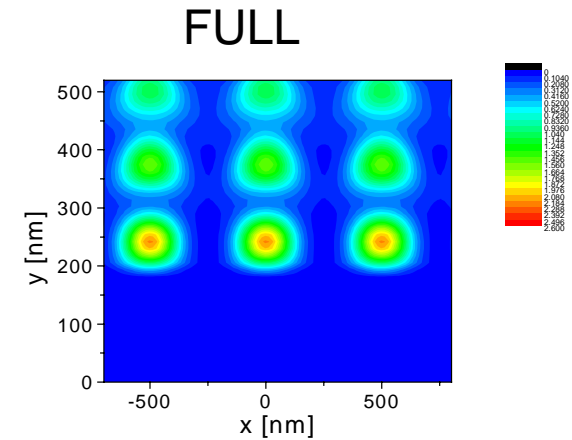
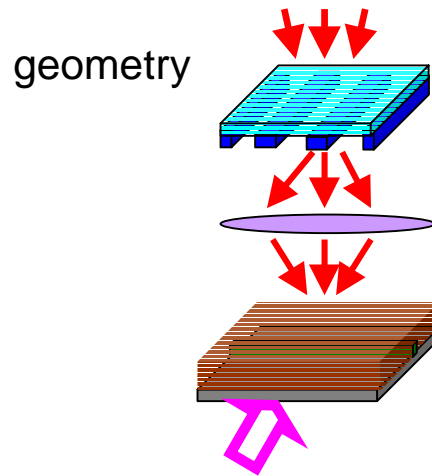


RENFT



# Lithographic Exposures over Nonplanar Wafers: RENFT

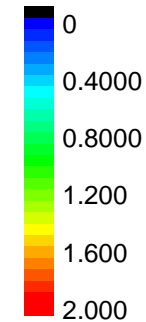
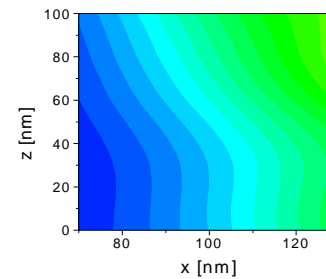
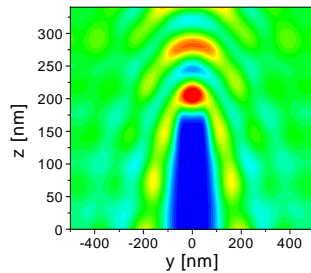
RENFT-concept: front view



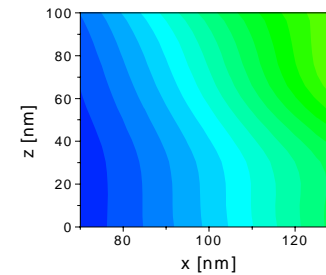
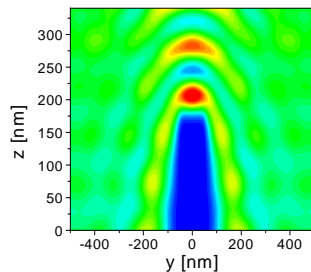
# Lithographic Exposures over Nonplanar Wafers: RENFT

quantitative evaluation

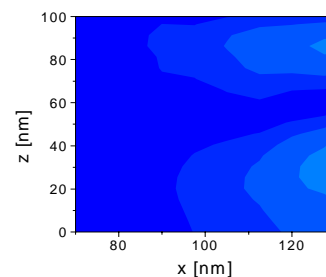
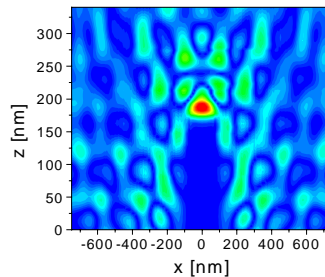
TASPAL  
old  
result



RENFT  
result



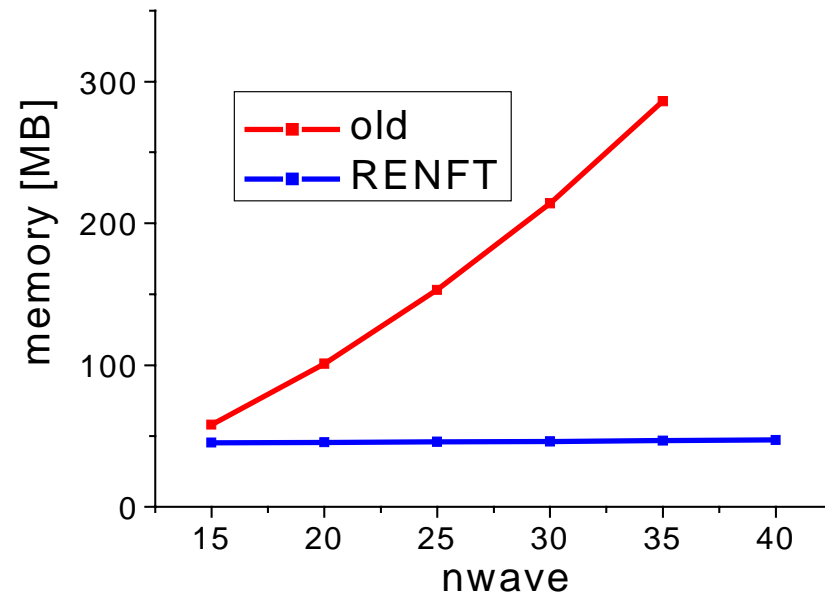
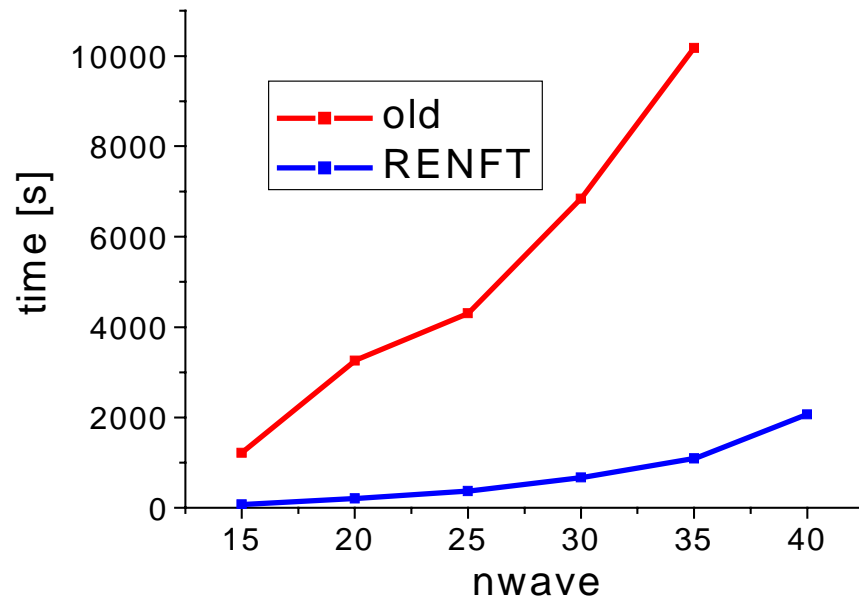
difference  
×10



- RENFT predicts footing behavior (including  $\sigma$ -tendencies)
- further investigation are necessary to explore the limits of the RENFT approach

# Lithographic Exposures over Nonplanar Wafers: RENFT

performance: timing/memory for  $\sigma=0.5$



## Selected Future Requirements: Aerial Image Formation

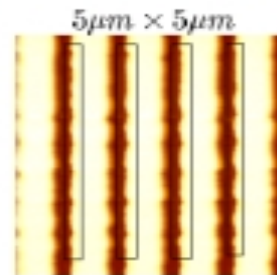
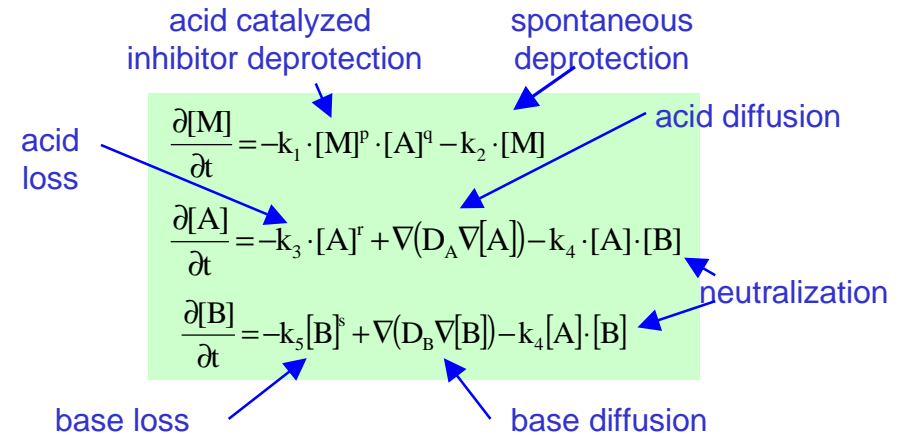
- effective and predictive modeling statistical effects: flare resulting from rough interfaces, depolarization effects, speckle phenomena
- faster and more efficient imaging algorithms for OPC and PSM

## Selected Future Requirements: Mask and Wafer Topography

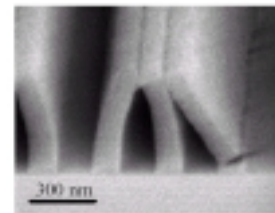
- comparison between alternative methods (FDTD, RCWA, waveguide method, wavelet based approaches), further benchmarking and experimental validation
- partial coherent exposures over nonplanar wafers: exploration of the limits of RENFT, alternative modeling approaches
- exploration of the limits of field decomposition, non-Manhattan-geometries, defects, larger areas

# Selected Future Requirements: Resist Modeling

- efficient methods for solving 3D coupled diffusion/kinetic equations
- finite molecular size effects: impact of resist material on line edge roughness
- mechanical resist properties: pattern collapse for large aspect ratios



*J. Shin et al.*  
(Univ. Wisconsin)



*H. Cao et al.*  
(Univ. Wisconsin)



## Selected Future Requirements: General

- combination of simulation and experiment
- application of advanced data analysis and optimization tools to cope with the large amount of simulated and measured data
- improved software architecture: flexibility, combination with other tools ...
- application of simulation tools in education
- modeling of alternative micro- and nanopatterning techniques: direct laser- or e-beam write, proximity printing, nanoimprint, ...

# Acknowledgements

## Thanks to

- all members of the IISB lithography simulation group: Peter Evanschitzky, Tim Fühner, Thomas Graf, Daniela Matiut, Thomas Schnattinger, Bernd Tollkühn
- our partners at Infineon (Roderick Köhle, Armin Semmler, Christoph Nölscher), AMTC Dresden (Ingo Höllein), IBM (Ron Gordon), Shipley (Stewart Robertson), Zeiss (Michael Totzek, Bernd Kleemann), Toshiba (Takeshi Sato), Sigma-C (Wolfgang Hoppe, Thomas Schmöller), and CNRS LETI (Patrick Schiavone), ...
- funding from: European Commission, German Research Ministry, Bavarian Research Funding